

**NATO**

**SECURITY INVESTMENT PROGRAMME**

**FINANCIAL STATISTICS**

**FOR 2017**

**NSIP FINANCIAL STATISTICS FOR 2017  
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## PART I – 2017 Financial Activity

### 1. Statement of Operations 2017

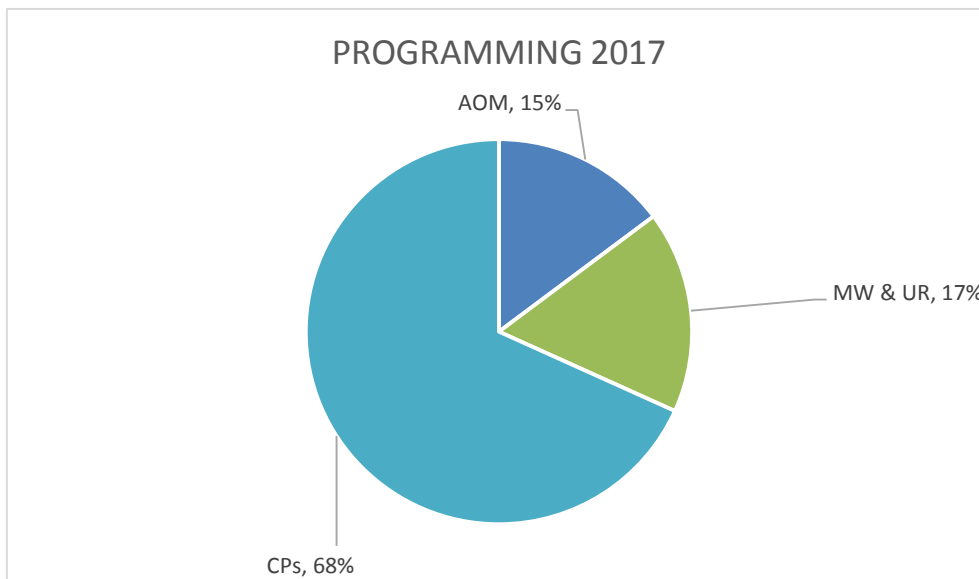
This table represents an overview of the operations for 2017 with details provided in the following pages as applicable.

Page	DESCRIPTION OF ACTIVITY	2017	
1.3	<b>PROGRAMMING:</b>		
	Council	306,754,000	
	Investment Committee (delegated authority)	142,761,970	
	<b>TOTAL PROGRAMMING</b>	449,515,970	
1.4	<b>AUTHORISATIONS:</b>		
	Physical Scope	214,870,467	
	Net Authorised Scope *	375,503,285	
	Net Authorised Fund	604,621,265	
1.5	<b>FINANCIAL IMPLEMENTATION</b>		including Readiness Action Plan (RAP)
	Approved Forecasts of Expenditure	463,081,295	30,372,921
	Expenditure	(417,672,828)	(30,801,621)
	Excess Forecasts	45,408,467	(428,700)
	Expenditure Rates: Approved Forecasts / Expenditure 2017-2022 MEDIUM TERM RESOURCE PLAN	90% 44%	
1.16	<b>CONTRIBUTIONS:</b>		
	Approved Ceiling (2017 Resource Plan)	655,000,000	
	Approved Forecasts of Expenditure	463,081,295	including (RAP) 30,372,921
	Correction to project 2006/3AF31019-00-BU Q3 & Q4/2016 - Excess Forecasts	1,716 (27,370,599)	(6,073,359)
	Q1 & Q2/2017 - Excess Forecasts	(17,515,470)	618,584
	Amount of contribution called	418,196,942	24,918,146
	Amount of contribution not called	236,803,058	

\* The amount includes EUR 173,100,000 deauthorised to the NCIA for EHF Space (SATCOM) further to reprogramming in CP 9A0130

## 2. Programming 2017

<b>Programmed by the NAC (Capability Packages)</b>	<b>Amount</b>	<b>Approval Reference</b>	<b>Approval Date</b>
9C0121A01 - NATO Cyber Range NCISS Cyber Laboratory	4,486,000	C-M(2017)0039	10/8/2017
5A0051A05 - Provide and Maintain Modified Munitions Storage System in ACE	113,168,000	C-M(2017)0075+AS1	20/12/2017
0A0149R01 - NATO Deployable C2 Assets	189,100,000	C-M(2017)0076 + AS1	20/12/2017
Sub-total	306,754,000		
<b>Programmed by the IC (Delegated Authority)</b>	<b>Year-to-date</b>		
Alliance Operations and Missions	66,399,524		
Minor Works and Urgent Requirements	76,362,446		
Sub-total	142,761,970		
<b>Total</b>	<b>449,515,970</b>		



### 3. Authorisations 2017

#### Breakdown of Authorisation per Programming Type

<b>Authorised Scope</b>	<b>2017</b>
CP Projects	508,176,424
SAP Projects	6,694,933
AOM Projects	66,399,524
UR Projects	43,919,695
MW Projects	32,442,751
<b>Total</b>	<b>657,633,327</b>
Deauthorised Scope	- 282,130,042
<b>Net Authorised Scope</b>	<b>375,503,285</b>

#### Breakdown of Authorisation per Host Nation (entity responsible for projects implementation)

<b>Net Authorised Scope by Host Nation</b>	<b>2017</b>
NATO Allies	97,541,792
Strategic Commands	10,361,803
NSPA	112,019,077
NCIA - detail below:	155,580,613
<i>Investment : 58.96%</i>	91,726,888
<i>Internal Engineering Service : 4.48%</i>	6,973,667
<i>Project Service Costs : 36.56%</i>	56,880,058
<b>Total</b>	<b>375,503,285</b>

#### Lump Sum Authorisations

<b>Authorised Scope</b>	<b>2017</b>
Lump Sum Authorisations	0
Conversions into Lump Sum Authorisations	340,343,621
<b>Total</b>	<b>340,343,621</b>

4. Financial implementation per Host Nation (HN) 2017 -  
i.e. expenditure versus approved forecasts

HN	2017 - 2022 MTRP Approved Programme only	2017 Approved forecasts	2017 Expenditure	Excess/(Shortfall) forecasts	Expenditure Rate Versus MTRP	Expenditure Rate versus approved forecasts
	(a)	(b)	(c)	= (b) - (c)	= (c) / (a)	= (c) / (b)
ACT	1,774,540	2,284,103	3,170,916	(886,813)	179%	139%
Albania	604,771	57,106	61,882	(4,776)	10%	108%
Belgium	18,620,242	5,926,475	2,971,426	2,955,049	16%	50%
Bulgaria	728,670	3,393,166	3,371,041	22,125	463%	99%
Canada	689,000	0	0	0	0%	N/A
Croatia	3,658,540	1,566,098	1,520,987	45,111	42%	97%
Czech Republic	8,358,367	4,111,101	4,050,372	60,729	48%	99%
Denmark	5,930,070	4,540,196	4,596,025	(55,829)	78%	101%
Estonia	4,014,238	4,457,218	4,394,970	62,248	109%	99%
France	5,005,252	22,576	0	22,576	0%	0%
Germany	39,711,423	18,079,459	17,433,741	645,718	44%	96%
Greece	81,692,656	5,432,358	6,180,260	(747,902)	8%	114%
Hungary	1,299,098	6,467,617	7,671,518	(1,203,901)	591%	119%
Iceland	3,197,372	2,963,174	2,841,545	121,629	89%	96%
Italy	59,185,378	11,965,071	16,273,024	(4,307,953)	27%	136%
Latvia	4,772,864	4,124,613	4,186,781	(62,168)	88%	102%
Lithuania	1,740,285	1,450,947	989,396	461,551	57%	68%
Luxemburg	0	0	0	0	N/A	N/A
NCI Agency	455,878,942	279,315,886	251,441,263	27,874,623	55%	90%
Netherlands	20,815,677	4,725,598	5,207,021	(481,423)	25%	110%
Norway	6,883,000	9,070,983	8,612,679	458,304	125%	95%
NSP Agency	83,901,077	18,817,316	11,307,582	7,509,734	13%	60%
Poland	37,387,517	18,916,586	17,677,565	1,239,021	47%	93%
Portugal	19,963,204	5,970,732	3,633,976	2,336,756	18%	61%
Romania	15,582,788	3,944,396	3,817,062	127,334	24%	97%
SHAPE	1,976,000	0	1,176,718	(1,176,718)	60%	N/A
Slovakia	1,846,829	300,246	343,336	(43,090)	19%	114%
Slovenia	2,188,834	1,372,169	1,458,433	(86,264)	67%	106%
Spain	11,252,658	2,599,453	950,136	1,649,317	8%	37%
Turkey	31,873,540	11,683,126	7,557,537	4,125,589	24%	65%
United Kingdom	16,082,840	1,746,831	1,822,931	(76,100)	11%	104%
United States	7,845,615	27,776,695	22,952,705	4,823,990	293%	83%
<b>Total</b>	<b>954,461,288</b>	<b>463,081,295</b>	<b>417,672,828</b>	<b>45,408,467</b>	<b>44%</b>	<b>90%</b>

ACT Allied Command Transformation  
NCI Agency NATO Communications and Information Agency  
NSP Agency NATO Support and Procurement Agency  
SHAPE Supreme Headquarters Allied Powers Europe

## PART II – Additional Information for 2017

### 5. Expenditure per Requirement Area

NOT DISCLOSED

### 6. Summary of Completed Projects over the past 8 years

<b>Years</b>	<b>Expenditure on Virtually Completed projects (as at 1st Jan. )</b>	<b>* COFFAs noted during the year</b>	<b>Ratios</b>
2010	8,061,149,302	616,011,260	7.64%
2011	8,431,304,440	813,139,927	9.64%
2012	8,497,266,196	825,947,419	9.72%
2013	8,164,281,873	896,613,762	10.98%
2014	7,878,111,467	1,115,454,776	14.16%
2015	7,106,516,582	1,205,921,202	16.97%
2016	6,745,535,216	1,392,193,299	20.64%
2017	5,920,660,271	1,205,986,738	20.37%

\* COFFA: Certificate of Final Financial Acceptance

### PART III – Cumulative Statistics as at 31 December 2017

7. Reimbursement from Third Parties, Historical Agreements & Prior year's adjustments and Miscellaneous Income to be returned:

#### A. Reimbursement from Third Parties

As at 31 December 2017, the amount to be reimbursed by Third Parties to NSIP totals EUR 17,889,680.

#### B. Historical Agreements & Prior year's adjustments

**2017**

Cumulative Contributions received	34,231,994,475	
<b>Historical Agreements:</b> NSIP Additional fundin (Turkey, Germany & NADGE)	382,107,692	
Total		34,614,102,167
 Cumulative Expenditure		 (34,577,597,238)
 <b>Prior year's adjustments:</b>		
Rounding discrepancies	(43,187)	
Transfer IAU/NAU	(8,568,745)	
Total		(8,611,932)
 Excess forecasts 2nd half 2017 to be used for 2018 contributions		 <b>27,892,997</b>

#### C. Miscellaneous Income to be returned to NSIP

	<b>NC3A</b>	<b>NACMA</b>	<b>SHAPE</b>
<b>2007</b>	3,020,011	0	0
<b>2008</b>	(1,149,471)	1,062,030	674,068
<b>2009</b>	(1,069,267)	372,069	144,947
	<b>NCIA CapDev</b>	<b>NCIA AirC2</b>	
<b>2010</b>	2,452,549	133,176	105,041
<b>2011</b>	(2,289,472)	556,450	174,789
<b>2012</b>	3,523,283	336,807	19,119
<b>2013</b>	1,411,465	(198,726)	53,424
<b>2014</b>	1,443,925		43,614
<b>2015</b>	3,823,137		42,546
<b>2016</b>	Not available		25,016
	<b>11,166,160</b>	<b>2,261,807</b>	<b>1,282,564</b>
<b>Total</b>	<b>14,710,530</b>		



8. Cumulative Financial Statistics as at 31 December 2017 per Implementation Stage

(EUR million)

	PLANNING PHASE	DESIGN PHASE	UNDER IMPLEMENTATION	VIRTUALLY COMPLETED	TOTAL	COMPLETED	TOTAL NSIP
<b>LCE</b>	2,400.8	1,715.1	3,512.2	5,802.3	13,430.4	27,856.6	41,287.1
<b>PHYSICAL SCOPE</b>	0.0	168.7	338.2	145.2	652.0	99.8	751.8
<b>AUTHORISED SCOPE</b>	0.0	39.0	2,891.8	6,152.6	9,083.5	27,856.6	36,940.1
<b>AUTHORISED FUNDS</b>	0.0	39.0	2,799.0	6,032.3	8,870.3	27,856.6	36,727.0
<b>EXPENDITURE</b>	0.0	22.0	979.9	5,719.1	6,721.0	27,856.6	34,577.6
<b>LCE RTBS</b>	2,400.8	1,693.1	2,532.3	83.2	6,709.5	0.0	6,709.5

Planning Phase:

Approved but not yet Financially Authorised.

Design Phase:

Fund Authorisation less than 5% of the Latest Cost Estimate.

Under implementation:

Active projects.

Virtually Completed:

Expenditure exceeds 90% of the Latest Cost Estimate OR the project is completed but not yet certified OR certified but not yet included in a List of Completed Projects.

Completed Projects:

Projects financially closed and certified by the International Board of Auditors, included in a list noted by the Investment Committee.

Physical Scope:

Agreement of physical scope does not grant the authority to sign a contract on behalf of NATO which would only be granted at the second stage when the Host Nation will be ready to issue the IFB.

## 9. Cumulative Financial Statistics as at 31 December 2017 per Year

Project Year	Programmed Amount	LCE	Physical Scope	Authorised Scope	Authorised Funds	Expenditure	LCE RTBS	%
Total 02 -36	11,911,687,586	13,573,858,353	-	14,389,354,706	13,572,586,024	13,573,858,353	-	0.00%
37	1,548,136,159	1,319,581,928	-	2,060,453,640	1,317,421,573	1,319,581,928	-	
38	1,529,074,813	1,383,752,676	-	1,684,409,565	1,382,728,812	1,383,752,676	-	
39	1,561,853,266	1,227,435,697	-	1,111,480,509	1,227,729,202	1,227,435,697	-	
40	1,842,148,114	1,412,993,390	-	1,518,877,277	1,413,055,080	1,412,993,390	-	
41	1,847,881,402	1,351,060,239	-	844,510,109	1,354,092,059	1,350,990,239	70,000	
42	1,499,672,720	1,199,696,227	-	737,306,190	1,199,052,045	1,199,696,227	-	
43	815,752,008	903,252,567	-	391,879,499	910,669,890	902,452,567	800,000	
44	656,595,653	486,617,619	-	132,504,032	489,956,164	486,617,619	-	
45	23,897,471	5,601,648	-	5,601,648	5,601,648	5,601,648	-	
Total 37 - 45	11,325,011,606	9,289,991,991	-	8,487,022,469	9,300,306,473	9,289,121,991	870,000	0.01%
1994	1,145,301,829	1,556,510,546	24,313,260	1,576,348,356	1,546,885,199	1,390,534,757	165,975,789	
1995	199,515,652	332,811,908	-	334,593,414	334,593,414	332,811,908	-	
1996	818,875,265	782,902,454	-	810,072,947	795,870,504	778,673,395	4,229,059	
1997	784,841,452	880,592,335	1,197,681	896,117,555	889,131,666	862,307,409	18,284,926	
1998	756,337,867	768,612,344	-	803,670,624	781,045,461	765,428,364	3,183,980	
1999	1,572,909,506	1,493,074,407	5,248,923	1,540,092,846	1,504,466,280	1,366,066,963	127,007,444	
Total 1994 - 1999	5,277,781,571	5,814,503,994	30,759,864	5,960,895,742	5,851,992,524	5,495,822,796	318,681,198	4.75%
2000	482,783,149	442,312,380	4,123,472	452,701,585	446,940,257	425,877,410	16,434,970	
2001	1,217,606,196	492,171,156	13,873,524	531,833,519	501,532,424	422,655,113	69,516,043	
2002	185,231,918	174,137,522	6,607,792	171,568,230	170,242,613	163,130,163	11,007,359	
2003	356,485,874	384,266,349	9,713,199	410,064,287	406,950,060	381,725,651	2,540,698	
2004	300,172,666	329,678,050	-	334,240,989	334,172,644	329,678,050	-	
2005	1,791,466,379	1,710,781,455	68,983,024	1,470,678,186	1,461,976,496	1,191,723,628	519,057,827	
Total 2000 - 2005	4,333,746,182	3,533,346,912	103,301,011	3,371,086,796	3,321,814,494	2,914,790,015	618,556,897	9.22%
2006	638,482,565	823,651,392	59,722,459	790,633,877	782,649,769	718,073,158	105,578,234	
2007	680,818,950	746,859,988	3,111,913	564,298,819	564,298,819	362,041,999	384,817,989	
2008	797,911,567	685,085,029	16,290,607	688,609,456	688,609,456	624,563,852	60,521,177	
2009	311,269,313	271,737,909	3,628,000	269,089,746	269,089,746	261,179,424	10,558,485	
2010	197,270,501	244,000,460	71,687,000	253,016,858	253,016,858	243,242,620	757,840	
2011	444,783,264	470,730,013	30,855,255	375,165,420	373,899,630	263,799,026	206,930,987	
Total 2006 - 2011	3,070,536,160	3,242,064,790	185,295,234	2,940,814,176	2,931,564,278	2,472,900,079	769,164,711	11.46%
2012	653,215,259	751,416,642	91,153,933	492,189,304	462,212,511	267,132,132	484,284,510	
2013	660,105,560	890,235,650	3,306,193	161,155,559	155,804,770	126,722,631	763,513,019	
2014	707,573,822	944,312,044	68,509,418	546,230,635	546,230,635	224,851,756	719,460,288	
2015	250,226,165	240,862,797	12,618,746	117,499,980	117,499,980	63,848,860	177,013,937	
2016	2,769,164,045	2,702,503,346	223,871,038	306,891,234	299,983,028	136,840,521	2,565,662,825	
2017	310,966,104	187,589,958	32,945,667	87,650,996	87,650,996	11,655,873	175,934,085	
Total 2012 - 2017	5,351,250,955	5,716,920,437	432,404,995	1,711,617,708	1,669,381,920	831,051,773	4,885,868,664	72.82%
2018 *	30,000	116,372,525	-	79,313,087	79,313,087	52,231	116,320,294	1.73%
Grand Total	41,270,044,060	41,287,059,003	751,761,104	36,940,104,684	36,726,958,800	34,577,597,238	6,709,461,765	100.00%

\* authorisations granted in 2017

10. Cumulative Financial Statistics as at 31 December 2017 per Host Nation

HN	LCE	Physical Scope	Authorised Scope	Authorised Funds	Expenditure	LCE RTBS
ACT	24,354,026	0	24,786,479	24,786,479	18,603,311	5,750,715
Albania	13,329,404	2,624,145	597,571	597,571	477,510	12,851,894
Belgium	1,092,972,128	5,531,788	864,064,555	864,064,555	823,659,956	269,312,172
Bulgaria	121,444,367	0	59,707,909	57,515,772	56,495,151	64,949,216
Canada	88,073,213	0	79,670,713	79,670,713	79,670,713	8,402,500
Croatia	24,211,605	2,361,645	10,936,658	10,936,658	5,628,778	18,582,827
Czech Republic	140,063,724	5,248,923	139,141,410	137,784,048	131,777,391	8,286,333
Denmark	742,521,094	0	742,119,734	741,491,738	734,674,150	7,846,944
Estonia	99,310,562	0	59,303,492	59,303,492	38,284,675	61,025,887
France	1,025,460,321	0	1,045,836,689	1,021,803,786	1,015,147,627	10,312,694
Germany	6,169,410,908	27,831,796	5,999,622,037	5,996,726,628	5,920,782,745	248,628,163
Greece	2,052,358,716	12,692,082	1,984,635,860	1,971,184,013	1,881,305,663	171,053,053
Hungary	247,558,973	0	159,328,836	159,328,836	149,470,774	98,088,199
Iceland	41,309,682	835,727	31,910,373	31,910,373	7,991,528	33,318,154
Italy	2,501,256,939	72,572,564	2,478,155,920	2,464,561,822	2,357,829,208	143,427,731
Latvia	126,736,205	0	43,428,959	43,428,959	39,753,978	86,982,227
Lithuania	96,587,460	434,700	50,837,547	50,837,547	44,469,897	52,117,563
Luxemburg	59,203,517	0	59,203,517	59,203,517	59,203,517	0
NCI Agency	9,775,482,144	335,779,673	7,102,360,164	7,011,533,996	6,066,009,494	3,709,472,650
Netherlands	990,490,544	183,786	994,981,215	990,676,213	945,786,729	44,703,815
NADGE	33,228,276	0	33,228,276	33,228,276	33,228,276	0
Norway	2,237,701,023	0	2,204,822,954	2,202,666,704	2,183,028,236	54,672,787
NSP Agency	1,628,003,130	46,339,331	1,356,135,667	1,355,205,507	1,131,687,362	496,315,768
Poland	691,316,984	2,309,198	610,189,657	590,885,534	437,053,861	254,263,123
Portugal	626,858,624	0	619,328,290	619,328,290	583,274,386	43,584,238
Romania	122,541,069	784,960	62,756,782	62,756,412	46,103,178	76,437,891
SHAPE	978,089,868	0	991,004,811	990,887,679	978,089,868	0
Slovakia	60,073,437	2,571,645	40,768,889	40,683,968	39,994,707	20,078,730
Slovenia	52,696,297	2,361,645	41,723,837	41,723,837	37,473,405	15,222,892
Spain	295,242,491	16,290,607	240,117,722	240,054,948	236,932,302	58,310,189
Turkey	4,701,022,735	19,066,463	4,664,431,049	4,634,972,349	4,565,834,008	135,188,727
United Kingdom	2,708,482,412	0	2,715,194,159	2,713,265,472	2,536,076,490	172,405,922
United States	1,719,667,127	195,940,426	1,429,772,953	1,423,953,108	1,391,798,364	327,868,763
Total	41,287,059,003	751,761,104	36,940,104,684	36,726,958,800	34,577,597,238	6,709,461,765

ACT Allied Command Transformation  
NADGE NATO Air Defense Ground Environment  
NCI Agency NATO Communications and Information Agency  
NSP Agency NATO Support and Procurement Agency  
SHAPE Supreme Headquarters Allied Powers Europe

## 11. Cumulative Financial Statistics for Alliance Operations & Missions (AOM) and Readiness Action Plan (RAP)

### AOM per Operation

Sub-Requirement Area	Programmed Amount	LCE	Authorised Scope	Authorised Funds	Expenditure	LCE RTBS
ISAF (0102)	1,555,004,472	1,493,458,718	1,521,955,703	1,521,955,703	1,492,979,962	478,756
Balkans (0103)	508,485,560	656,125,434	659,645,007	659,527,875	633,074,340	23,051,094
NTM-I (0104)	33,571,833	28,147,882	30,895,899	30,895,899	28,147,882	0
NATO support to Pakistan (Earthquake) (0105)	341,000	321,397	321,397	321,397	321,397	0
Operation Ocean Shield (0107)	869,994	1,937,632	3,679,515	3,679,515	414,727	1,522,905
Operation Active Endeavor (0108)	16,187,796	16,449,902	17,691,151	17,691,151	14,969,131	1,480,771
RS - Resolute Support (0109)	43,726,262	112,632,575	96,278,005	96,278,005	42,489,034	70,143,541
<b>TOTAL</b>	<b>2,158,186,917</b>	<b>2,309,073,540</b>	<b>2,330,466,677</b>	<b>2,330,349,545</b>	<b>2,212,396,473</b>	<b>96,677,067</b>

### AOM per Year

Year	Authorised Scope	Authorised Funds	Expenditure
Up to Year 2006	962,135,709	922,216,334	666,834,477
Year 2007	1,164,799,423	1,122,196,139	886,722,529
Year 2008	1,470,910,657	1,387,582,728	1,105,566,892
Year 2009	1,781,566,472	1,769,123,638	1,376,527,418
Year 2010	1,939,252,707	1,927,884,543	1,591,688,877
Year 2011	2,148,880,605	2,130,594,435	1,807,061,631
Year 2012	2,257,113,526	2,256,283,840	1,952,307,624
Year 2013	2,318,417,160	2,317,728,553	2,044,478,412
Year 2014	2,339,339,966	2,338,647,070	2,114,697,721
Year 2015	2,356,062,736	2,355,659,858	2,164,825,562
Year 2016	2,299,153,768	2,298,824,699	2,191,616,275
Year 2017	2,330,466,677	2,330,349,545	2,212,396,473

### RAP per nation

HN	Programmed Amount	LCE	Physical Scope	Authorised Scope	Authorised Funds	Expenditure	LCE RTBS
<b>BU</b>	28,770,000	28,117,511	0	2,195,700	2,195,700	2,195,700	25,921,811
<b>ES</b>	52,733,000	44,795,285	0	15,977,495	15,977,495	6,814,209	37,981,076
<b>HU</b>	47,113,000	38,202,516	0	4,559,965	4,559,965	2,628,163	35,574,353
<b>LA</b>	70,961,000	71,913,804	0	6,018,605	6,018,605	3,529,687	68,384,117
<b>LI</b>	44,321,000	32,748,605	0	1,249,626	1,249,626	146,545	32,602,060
<b>NCIA</b>	7,950,627	7,645,693	0	10,827,592	10,827,592	6,733,222	912,471
<b>NSPA</b>	0	0	0	206,369	206,369	0	0
<b>PL</b>	114,919,000	108,223,008	0	5,429,899	5,429,899	1,618,407	108,604,601
<b>RO</b>	56,625,000	50,357,223	784,960	2,391,066	2,391,066	2,096,110	48,261,113
<b>SK</b>	9,565,000	7,974,745	0	3,876,160	3,876,160	3,762,295	4,212,450
<b>US</b>	321,220,000	275,761,685	168,598,002	38,613,363	38,613,363	20,950,264	254,811,421
<b>Total</b>	<b>754,177,627</b>	<b>665,740,075</b>	<b>169,382,962</b>	<b>91,345,840</b>	<b>91,345,840</b>	<b>50,474,602</b>	<b>617,265,473</b>

## 12. Summary over 10 Years of Financial Statistics

(EUR million)

(0)	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)	
Year	Programming	Physical Scope	Net Authorised Scope	Net Authorised Funds	Forecasted Expenditure	MTRP without slippage factors	Financial Expend. Rate Versus MTRP (8)/(6)	Expenditure	Financial Expenditure rate (8)/(5)	Excess/ (Shortfall) forecasts (5)-(8)	Contributions Paid (5) - (10) year -1	Other Income	Approved Ceiling	Contributions not called	
2008	569.5	69.00	734.6	836.9	691.2	X	X	653.9	95%	37.3	639.7		640.47	1	
2009	465.8	(14.40)	919.8	932.3	697.5			777.0	111%	(79.5)	657.7	(17.30)	640.47		0
2010	220.4	(0.30)	336.3	522.6	870.4			651.1	75%	219.3	949.9		949.90		0
2011	472.8	283.70	615.9	878.9	818.7			686.3	84%	132.4	599.4		875.00		276
2012	680.8	34.00	518.0	634.7	664.1			555.1	84%	109.0	531.7		800.00		268
2013	674.9	180.40	603.0	644.8	663.8	1,128.4	47%	525.9	79%	137.9	554.8		700.00	145	
2014	700.0	177.00	207.6	512.6	671.8	849.1	63%	538.5	80%	1st half 2014 28.8	→ 505.1		700*	195	
										2nd half 2014 104.5	→				
2015	248.0	(261.2)	754.8	748.9	619.8	867.1	69%	595.4	96%	1st half 2015 20.4	→ 494.9**		700***	205	
										2nd half 2015 4.0	→				
2016	2,826.1	(97.0)	511.4	576.3	587.5	884.9	57%	508.5	87%	1st half 2016 51.6	→ 531.9		690	158	
										2nd half 2016 27.4	→				
2017	449.5	214.9	375.5	604.6	463.1	954.5	44%	417.7	90%	1st half 2017 17.5	→ 418.2		655	237	
										2nd half 2017 27.9	→				

\* The RPPB agreed that the amount exceeding the EUR 650 million will not be released until appropriate progress had been made on the follow-on tasking from the February 2013 Defence Ministerial meeting. The release of the additional MEUR 50 was not sought.

\*\* Further to the Go life of CIRIS in July 2014, the computation of contributions is now based on quarterly carry forward instead of twice yearly. The excess forecast from 2nd half 2014 plus the excess forecast from the 1st half 2015 was used to compute the contributions 2015.

\*\*\* Ceiling of MEUR 625 plus MEUR 75 dedicated for RAP projects.

13. Cumulative Contributions as at 31 December 2017 per Nation

HN	CUMULATIVE CONTRIBUTIONS AS PER AC/4-N(2017)0015-COR1		DURING		CUMULATIVE CONTRIBUTIONS	
	2016	2016	2017	2017	2017	2017
	RECEIVED	PAID	RECEIVED	PAID	RECEIVED	PAID
ACT	14,954,241	0	3,429,535	0	18,383,776	0
Albania	446,579	1,476,942	30,975	227,101	477,554	1,704,043
Belgium	810,231,647	1,484,763,339	5,723,045	10,338,061	815,954,692	1,495,101,400
Bulgaria	53,162,703	20,984,662	3,332,448	1,344,214	56,495,151	22,328,876
Canada	79,669,529	1,874,362,003	0	23,261,612	79,669,529	1,897,623,615
Croatia	4,307,791	5,331,873	1,347,659	809,756	5,655,450	6,141,629
Czech Republic	127,761,201	90,055,291	4,054,238	3,950,221	131,815,439	94,005,512
Denmark	704,852,194	1,083,488,885	4,480,148	6,848,213	709,332,342	1,090,337,098
Estonia	33,983,705	6,676,549	4,300,970	432,035	38,284,675	7,108,584
France	1,002,482,546	1,679,077,406	22,576	41,862,900	1,002,505,122	1,720,940,306
Germany	5,793,109,909	7,432,225,812	14,636,488	67,559,205	5,807,746,397	7,499,785,017
Greece	1,845,024,216	293,198,783	5,122,044	4,507,109	1,850,146,260	297,705,892
Hungary	143,634,990	65,877,345	5,954,254	2,912,786	149,589,244	68,790,131
Iceland	4,719,410	957,091	3,215,799	132,358	7,935,209	1,089,449
Italy	2,292,900,611	2,651,256,988	14,452,350	34,892,800	2,307,352,961	2,686,149,788
Latvia	35,635,615	8,737,421	4,019,889	584,709	39,655,504	9,322,130
Lithuania	43,763,795	13,143,382	1,122,291	882,401	44,886,086	14,025,783
Luxemburg	59,203,517	64,595,312	0	607,435	59,203,517	65,202,747
Montenegro	0	0	0	373	0	373
NCI Agency *	5,836,534,318	0	247,172,854	0	6,083,707,172	0
Netherlands	923,607,330	1,551,566,277	12,108,711	14,226,020	935,716,041	1,565,792,297
NADGE	12,996,947	0	0	0	12,996,947	0
Norway	2,126,654,548	906,870,159	10,367,515	7,053,608	2,137,022,063	913,923,767
NSP Agency	1,121,873,624	0	15,439,776	0	1,137,313,400	0
Poland	421,467,107	246,151,656	16,645,715	10,897,326	438,112,822	257,048,982
Portugal	579,785,366	111,335,919	4,572,010	3,224,195	584,357,376	114,560,114
Romania	42,286,115	68,175,571	3,811,910	4,437,905	46,098,025	72,613,476
SHAPE	976,874,970	0	1,751,783	0	978,626,753	0
Slovakia	39,667,401	28,077,916	284,216	1,871,629	39,951,617	29,949,545
Slovenia	36,648,549	15,736,552	604,709	966,739	37,253,258	16,703,291
Spain	233,834,556	395,132,136	4,262,518	19,639,576	238,097,074	414,771,712
Turkey	4,500,273,475	417,116,103	5,457,048	12,824,039	4,505,730,523	429,940,142
United Kingdom	2,534,811,910	4,136,343,627	2,535,832	48,074,866	2,537,347,742	4,184,418,493
United States	1,376,637,118	9,161,082,533	17,937,636	93,827,750	1,394,574,754	9,254,910,283
<b>TOTAL</b>	<b>33,813,797,533</b>	<b>33,813,797,533</b>	<b>418,196,942</b>	<b>418,196,942</b>	<b>34,231,994,475</b>	<b>34,231,994,475</b>

\* includes NC3A & NACMA Administrative Budgets (EUR 328,414,511 & EUR 221,415,593)

## NSIP - GLOSSARY OF TERMS

<b>Alliance Operations and Missions (AOM)</b>	Alliance Operations and Missions Requirement is the military capability NATO needs to be able to accomplish its missions and operations.
<b>Audit - Project</b>	The NSIP Project Audit is an examination of the project accounts presented by the HN, in order to enable the Council and, through their Permanent Representatives, the Governments of member countries to satisfy themselves that common funds have been properly used for the settlement of authorised expenditure.
<b>Authorised Scope</b>	The Investment Committee authorizes Nation/Agency to implement specific works (build a hangar, procure and install 25 radios, etc.). The specific works (“what”) are known as the <b>physical scope</b> , and the amount of funds the AN may commit (“how much”) is known as the <b>financial scope</b> .
<b>Authorised Funds</b>	The maximum amount of funds an AN is allowed to spend.
<b>Call for Contributions (CfC)</b>	The Call for Contributions is issued four times per year, requesting nations to pay each other through multilateral compensation for a specified amount and currency based upon the cost share arrangement applicable.
<b>Capability Package (CP)</b>	<p>A Capability Package is a combination of national and NATO-funded assets and support facilities which, together, will enable a Major NATO Command to fulfil a specific military function or requirement. It is known as the tool used by the resource community to provide a capability needed by the Strategic Commander to fulfil a military requirement.</p> <p>An approval of a Capability Package results in the programming of common funds needed to complete NSIP projects proposed in the CP.</p>
<b>Capability Package Implementation Plan (PIP)</b>	The Capability Package Implementation Plan is a document produced for the IC upon approval of the CP which outlines the implementation and expenditure profiles for the projects in the CPs. It has the aim to help the HN to understand better the requirements (shortfalls, corrections, O&M costs), to refine the schedule and indicate a baseline against which the implementation progress of the CP and of the individual projects can be measured.
<b>Certificate of Final Financial Acceptance (COFFA)</b>	<p>A Certificate of Final Financial Acceptance is a certificate issued by the International Board of Auditors, per sub project, when the project outputs/projects deliverables have been formally accepted and the audit examination is completed.</p> <p>The COFFA formally relieves HN of any further accountability for funds authorised and expended.</p>
<b>Common Funded Integrated Resources Information System (CIRIS)</b>	This is the NSIP database where any information concerning the program is stored.
<b>Common Funding (CF)</b>	Common Funding are formal arrangements which have been put in place whereby member nations, collectively, provide funds to NATO. There are three different types of CF in NATO: the Civil Budget, the Military Budget, and the NSIP.
<b>Completed project</b>	Financially completed projects have received a COFFA from the IBAN and the list of completed projects has been to the IC for agreement.

<b>Contributions</b>	Contributions, as approved by the IC, are the amounts paid and received by the participating nations in accordance with the agreed cost sharing arrangements.
<b>Contribution ceiling</b>	The contribution ceiling is the NAC-approved upper limit on the funds to be contributed by the member nations during a calendar year.
<b>De-authorisation</b>	A de-authorisation is the reduction of scope and/or funds within a project.
<b>Decision sheets</b>	Decision sheets record the decisions reached by a committee/board.
<b>Excess/Shortfall</b>	Difference between the forecasts paid and the actual expenditure reported by Host Nation for the same period. This was previously referred as carry forward.
<b>Expenditure</b>	Level of expenditure incurred for the implementation of an NSIP funded project.
<b>Expenditure rate</b>	The expenditure rate is the ratio of the amount actually expended in a period compared to the amount that was forecasted for the same period.
<b>Financial Increments</b>	For large project, the IC may initially authorize full scope and a reduced amount in financial funds, which is also called Financial Increments. Financial Increments are partial financial authorisations and are the disbursement authority for funds.
<b>Forecasts of expenditure</b>	Amount forecasted by Nations/Agencies to be expended during the life time of an NSIP funded project. Forecasts of expenditure are provided per quarters/years in the NSIP database (CIRIS).
<b>Host Nation (HN)</b>	Ally or Agency or Strategic Command receiving the authorisation and therefore responsible and accountable for the implementation of the NSIP.
<b>International Board of Auditors for NATO (IBAN)</b>	The IBAN is the independent, external audit body of NATO.
<b>Investment Committee (IC)</b>	The Investment Committee is one of NATO's Resource Committees and responsible for the implementation of the NSIP, either from Capability packages as screened by the RPPB and approved by the NAC or from the delegated authority granted to IC for Alliance Operations & Missions or Minor Works/Urgent Requirements.
<b>Latest Cost Estimate (LCE)</b>	The Latest Cost Estimate is the sum of the amount already expended plus the future expenses forecasted by the HN in its Quarterly Financial Report. The LCE is the total estimated cost to bring the NSIP project to completion.
<b>Latest Cost Estimate (LCE) remaining to be spent (RTBS)</b>	This is the amount of LCE unspent i.e. Latest Cost Estimate minus Expenditure
<b>Lump Sum</b>	A Lump Sum can be defined as a fixed, once and for all, comprehensive authorization. .granted when it is very difficult and impractical to separate the NATO scope from the national scope. Once a nation has received a lump sum contribution it has no further claim on NATO for cost over runs and must complete the works from its own resources.
<b>Lump Sum conversion</b>	Process of converting a normal authorization into a lump sum due to lack of documentation or in the framework of accelerated closure. Those lump sum conversions are not subject to audit by IBAN.



<b>Medium Term Resource Plan (MTRP)</b>	The Medium Term Resource Plan is the primary document used for short to medium-term resource planning and is prepared and approved by NAC on an annual basis. It provides a comprehensive overview of and forward look on activities requiring Common Funding and covers the three NATO resource pillars of investment, O&M and manpower. The MTRP makes recommendations on the resources to be allocated to the Military Budget and the NSIP for the budget year and to set NSIP planning figures for a five-year outlook period.
<b>Minor Work</b>	A Minor Work is defined as discrete project, straightforward, self-standing and completely usable, not part of a currently proposed project and not the planning or a consulting aspect associated with a larger project. Minor Works must be in line with the current guidelines for CF. Ceiling, per MW, is currently set at EUR 1,500,000.
<b>Physical scope (including released and cancelled)</b>	The physical scope describes the exact nature and range of the work supported for authorisation by the IC with a breakdown of the cost estimate by items as set out in the screening document prepared by the IS. Released Physical scope: when financial scope has been authorised granting contracting authority. Cancelled Physical scope: when physical scope is no longer required.
<b>Prefinancing</b>	Prefinancing is a procedure by which a HN may declare to NATO its intention to fund from national resources a project which may later qualify for NSIP funding. In this manner the project avoids being classified as “a posteriori”.
<b>Programming</b>	There are 4 types of programming a project. The CP and SAP project process follow the same format of programming. The CPs and SAPs are reviewed by the RPPB and the MC and formerly approved by the NAC. The Minor Works, Urgent Requirements and Alliance Operations & Missions for which programming authority has been delegated to the IC, the projects are considered programmed as from the time of the first IC authorisation against the project.
<b>Requirement Area</b>	Grouping of NATO strategic objectives
<b>Stand Alone Project (SAP)</b>	A SAP is one of the 3 special ways of programming beside the CP-method and is handled in exactly the same way as a CP. A SAP submission is the means to program NSIP funds for a project that is not part of a larger capability. From a staffing viewpoint, a SAP is a CP with just one project.
<b>Urgent Requirement (UR)</b>	Urgent requirements are programmed by the IC under delegated authority and are not part of a CP or SAP project for reasons of urgency (AOM are also considered URs). URs are military requirements that are in line with CF guidelines. They are based on operational, safety, economic and environmental considerations and need to be implemented promptly to ensure that the current operational capability is maintained. URs are authorised on a case by case basis by the IC.
<b>Virtually completed projects</b>	A project is considered Virtually completed when its expenditure exceeds 90% of the Latest Cost Estimate or when it is a completed projects not yet certified or certified but not yet included in a List of Completed Projects.

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