

NATO

SECURITY INVESTMENT PROGRAMME

FINANCIAL STATISTICS

FOR 2016

NSIP FINANCIAL STATISTICS FOR 2016

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PART I – 2016 Financial Activity

1. Statement of Operations 2016

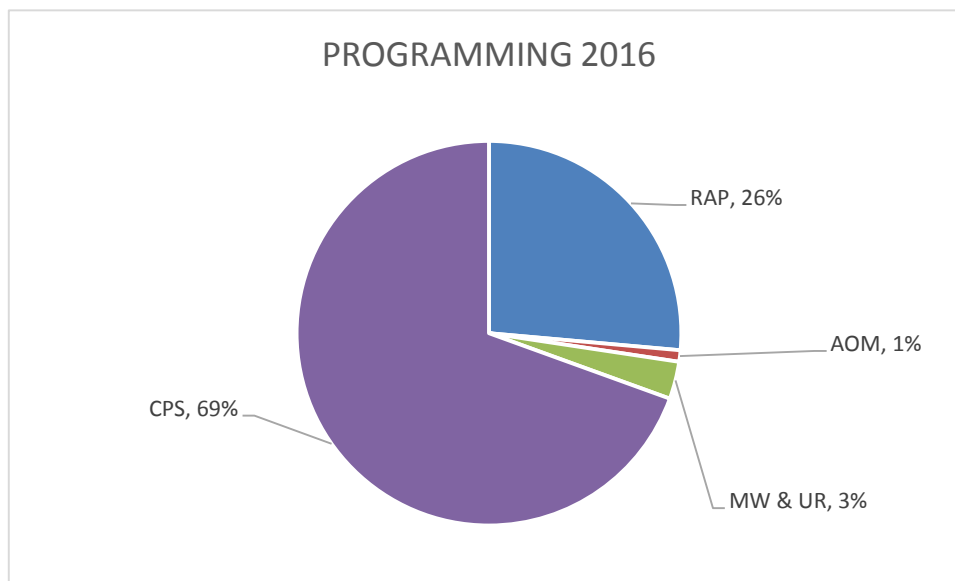
This table represents an overview of the operations for 2016 with details provided in the following pages as applicable.

Page	DESCRIPTION OF ACTIVITY	2016	
1.3	PROGRAMMING:		
	Council	2,707,674,190	
	Investment Committee (delegated authority)	118,469,145	
	TOTAL PROGRAMMING	2,826,143,335	
1.4	AUTHORISATIONS:		
	Physical Scope	(96,972,117)	
	Net Authorised Scope	511,405,865	
	Net Authorised Fund	576,279,273	
1.5	FINANCIAL IMPLEMENTATION		
	Approved Forecasts of Expenditure	587,508,362	
	Expenditure	(508,527,876)	
	Excess Forecasts	78,980,486	
	Expenditure Rates:		
	Approved Forecasts / Expenditure	87%	
	2016 Resource Plan Forecasts / Expenditure	57%	
1.13	CONTRIBUTIONS:	Other NSIP Projects	Readiness Action Plan
	Approved Ceiling (2016 Resource Plan)	690,000,000	
	Approved Forecasts of Expenditure	568,883,037	18,625,325
	Correction to project 2006/3AF31019-00-BU	(1,716)	
	Q3 & Q4/2015 - Excess Forecasts	(4,241,361)	230,826
	Q1 & Q2/2016 - Excess Forecasts	(51,842,315)	232,428
	Amount of contribution called	531,886,224	
	Amount of contribution not called	158,113,776	

2. Programming 2016

Programmed by the NAC (Capability Packages)	Approval Reference	Amount	Approval Date
9A0130 – Satellite Communication (SATCOM) Transmission Services	C-M(2016)0013-AS1	1,521,466,000	15/3/2016
9C0151 * - NATO Force Integration Units (NFIUs)	C-M(2016)0021-AS1	20,571,000	20/4/2016
9C0121 - NATO Cyber Range Capability - Phase 1	C-M(2016)0031 (INV)	4,353,000	10/6/2016
0A0203 - Alliance Future Surveillance and Control (AFSC)	PO(2016)0403 (INV)	118,200,000	10/6/2016
9A1304 - Multi-Purpose Aircraft in SACEUR's Areas of Responsibility	C-M(2016)0035 (INV)	124,359,000	1/7/2016
9A0996 * - Reception, Staging and Onward Movement (RSOM)	C-M(2016)0037 (INV)	253,527,000	4/7/2016
9A0997 * - Pre-positioning	C-M(2016)0038 (INV)	312,774,000	4/7/2016
9A0401 * - Force Integration and Enhanced Training (FIET)	C-M(2016)0039 (INV)	157,418,000	4/7/2016
9A0951A01 - Air Transport in SACEUR's Areas of Responsibility	C-M(2016)0047 (INV)	191,165,000	25/8/2016
9C0150A01 - Extend NATO Core Information Services for Command and Control to Montenegro	C-M(2016)0052 (INV)	1,783,650	11/10/2016
0A0104A02 - Extend NATO Core Communication Network Services for Command and Control to Montenegro	C-M(2016)0053 (INV)	1,496,000	11/10/2016
5A0063A02 - Extend NATINAMDS Capability to Montenegro	C-M(2016)0054 (INV)	561,540	11/10/2016
	Sub Total	2,707,674,190	
Programmed by the Investment Committee (Delegated Authority)			
Alliance Operations and Missions		28,984,181	
Minor Works and Urgent Requirements		89,484,964	
	Sub Total	118,469,145	
	TOTAL	2,826,143,335	

* RAP Capabiliy Packages



3. Authorisations 2016

Breakdown of Authorisation per Programming Type

Authorised Scope	2016
CP Projects	486,150,846
SAP Projects	33,619,570
AOM Projects	28,984,181
UR Projects	55,138,863
MW Projects	34,346,101
Total	638,239,561
Deauthorised Scope	-126,833,696
Net Authorised Scope	511,405,865

Breakdown of Authorisation per Host Nation

Net Authorised Scope by Host Nation		2016
NATO Allies		283,617,729
Strategic Commands		(4,848,751)
NSPA		2,126,262
NCIA - detail below:		230,510,625
<i>Investment: 80%</i>	182,823,671	
<i>Internal Engineering Service: 4%</i>	8,110,171	
<i>Project Management Costs: 16%</i>	39,576,783	
Total	230,510,625	511,405,865

Lump Sum Authorisations

Authorised Scope	2016
Lump Sum Authorisations	0
Conversions into Lump Sum Authorisations	96,315,543
Total	96,315,543

4. Financial implementation per Host Nation (HN) 2016
i.e. expenditure versus approved forecasts

HN	2016 - Resource Plan Approved Programme only	2016 Approved forecasts	2016 Expenditure	Excess/(Shortfall) forecasts	Expenditure Rate Versus MTRP	Expenditure Rate versus approved forecasts
	(a)	(b)	(c)	= (b) - (c)	= (c) / (a)	= (c) / (b)
ACT	600,000	657,102	1,477,573	(820,471)	246%	225%
Albania	75,000	222,351	210,150	12,201	280%	95%
Belgium	25,764,796	3,861,304	3,568,082	293,222	14%	92%
Bulgaria	3,981,123	8,149,294	8,077,486	71,808	203%	99%
Canada	0	0	0	0	N/A	N/A
Croatia	2,259,663	2,686,630	2,697,453	(10,823)	119%	100%
Czech Republic	6,234,782	888,886	669,059	219,827	11%	75%
Denmark	3,381,175	768,270	588,252	180,018	17%	77%
Estonia	2,264,218	2,844,191	2,750,191	94,000	121%	97%
France	5,283,267	5,834,306	2,212,434	3,621,872	42%	38%
Germany	47,381,689	40,157,289	36,484,622	3,672,667	77%	91%
Greece	58,991,172	8,558,437	8,546,156	12,281	14%	100%
Hungary	1,818,047	3,720,019	2,511,750	1,208,269	138%	68%
Iceland	2,545,213	1,261,842	1,725,328	(463,486)	68%	137%
Italy	30,671,168	25,826,000	32,097,974	(6,271,974)	105%	124%
Latvia	2,700,000	3,052,873	2,991,847	61,026	111%	98%
Lithuania	5,188,846	1,240,312	969,603	270,709	19%	78%
Luxemburg	0	0	0	0	N/A	N/A
NCI Agency	433,970,478	352,894,345	294,457,490	58,436,855	68%	83%
Netherlands	47,060,871	9,390,444	12,636,334	(3,245,890)	27%	135%
Norway	8,505,513	2,811,920	5,989,373	(3,177,453)	70%	213%
NSP Agency	51,585,805	29,336,053	24,876,705	4,459,348	48%	85%
Poland	38,088,700	22,583,477	19,523,753	3,059,724	51%	86%
Portugal	14,768,939	1,339,314	1,056,313	283,001	7%	79%
Romania	22,277,670	7,866,373	7,932,321	(65,948)	36%	101%
SHAPE	50,542	333,362	286,866	46,496	568%	86%
Slovakia	0	4,551,101	4,535,071	16,030	N/A	100%
Slovenia	4,241,501	4,037,797	3,371,039	666,758	79%	83%
Spain	15,234,600	3,279,282	5,965,681	(2,686,399)	39%	182%
Turkey	21,232,191	18,052,573	10,525,812	7,526,761	50%	58%
United Kingdom	20,412,916	857,949	(1,112,798)	1,970,747	-5%	-130%
United States	8,217,034	20,445,266	10,905,956	9,539,310	133%	53%
Total	884,786,919	587,508,362	508,527,876	78,980,486	57%	87%

ACT Allied Command Transformation
NCI Agency NATO Communications and Information Agency
NSP Agency NATO Support and Procurement Agency
SHAPE Supreme Headquarters Allied Powers Europe

PART II – Additional Information for 2016

5. Expenditure per Requirement Area

NOT DISCLOSED

6. Summary of Completed Projects over the past 7 years

Years	Expenditure on Virtually Completed projects (as at 1st Jan.)	* COFFAs noted during the year	Ratios
2010	8,061,149,302	616,011,260	7.64%
2011	8,431,304,440	813,139,927	9.64%
2012	8,497,266,196	825,947,419	9.72%
2013	8,164,281,873	896,613,762	10.98%
2014	7,878,111,467	1,115,454,776	14.16%
2015	7,106,516,582	1,205,921,202	16.97%
2016	6,745,535,216	1,392,193,299	20.64%

* COFFA: Certificate of Final Financial Acceptance

PART III – Cumulative Statistics as at 31 December 2016

7. Reimbursement from Third Parties, Historical Agreements & Prior year's adjustments and Miscellaneous Income to be returned:

A. Reimbursement from Third Parties

As at 31 December 2016, the amount to be reimbursed by Third Parties to NSIP totals EUR 22,935,932.

B. Historical Agreements & Prior year's adjustments

	2016	
Cumulative Contributions (page 1-13)	33,813,797,533	
Historical Agreements: Additional funding from nations	382,107,692	
(Turkey, Germany & NADGE)	Total	34,195,905,225
Cumulative Expenditure (page 1-10)		(34,159,924,410)
Prior year's adjustments:		
Rounding discrepancies	(43,187)	
Transfer IAU/NAU	(8,568,745)	
	Total	(8,611,932)
Excess forecasts 2016 to be used for 2017 contributions		<u><u>27,368,883</u></u>

C. Miscellaneous Income to be returned to NSIP

	NC3A	NACMA	SHAPE
2007	3,020,011	0	0
2008	(1,149,471)	1,062,030	674,068
2009	(1,069,267)	372,069	144,947
	NCIA CapDev	NCIA AirC2	
2010	2,452,549	133,176	105,041
2011	(2,289,472)	556,450	174,789
2012	3,523,283	336,807	19,119
2013	1,411,465	(198,726)	53,424
2014	1,443,925		42,083
2015	3,823,137		
	11,166,160	2,261,807	1,213,471
Total		14,641,437	

8. Cumulative Financial Statistics as at 31 December 2016 per Implementation Stage

	PLANNING PHASE	DESIGN PHASE	UNDER IMPLEMENTATION	VIRTUALLY COMPLETED	TOTAL	COMPLETED	TOTAL NSIP
LCE	3,093.5	1,431.0	3,872.5	5,965.0	14,361.9	26,683.6	41,045.6
PHYSICAL SCOPE	87.5	18.4	306.7	33.9	446.6	90.3	536.9
AUTHORISED SCOPE	0.0	35.5	3,511.1	6,334.4	9,881.0	26,683.6	36,564.6
AUTHORISED FUNDS	0.0	35.5	3,196.4	6,206.8	9,438.7	26,683.6	36,122.3
EXPENDITURE	0.0	17.5	1,538.1	5,920.7	7,476.3	26,683.6	34,159.9
LCE RTBS	3,093.5	1,413.5	2,334.3	44.3	6,885.7	0.0	6,885.7

Planning Phase: Approved but not yet Financially Authorised.

Design Phase: Fund Authorisation less than 5% of the Latest Cost Estimate.

Under implementation: Active projects.

Virtually Completed: Expenditure exceeds 90% of the Latest Cost Estimate OR the project is completed but not yet certified OR certified but not yet included in a List of Completed Projects.

Completed Projects: Projects financially closed and certified by the International Board of Auditors, included in a list noted by the Investment Committee.

Physical Scope: Agreement of physical scope does not grant the authority to sign a contract on behalf of NATO which would only be granted at the second stage when the Host Nation will be ready to issue the IFB.

9. Cumulative Financial Statistics as at 31 December 2016 per Year

Project Year	Programmed Amount	LCE	Physical Scope	Authorised Scope	Authorised Funds	Expenditure	LCE RTBS
Total 02 - 36	11,911,687,586	13,574,288,520	0	14,391,724,506	13,574,955,824	13,574,288,520	0
0037 Total	1,548,136,159	1,319,581,928	0	2,060,693,878	1,317,421,573	1,319,581,928	0
0038 Total	1,529,074,813	1,384,608,931	0	1,685,259,522	1,383,444,292	1,383,897,617	711,314
0039 Total	1,561,853,266	1,226,538,119	0	1,111,273,921	1,226,831,625	1,226,538,119	0
0040 Total	1,842,148,114	1,414,308,152	0	1,520,771,054	1,415,355,594	1,414,308,152	0
0041 Total	1,847,881,402	1,350,993,723	0	844,510,109	1,354,671,062	1,350,775,723	218,000
0042 Total	1,499,672,720	1,199,696,227	0	737,306,190	1,198,129,994	1,198,428,368	1,267,859
0043 Total	815,752,008	907,993,496	0	391,879,499	910,669,890	905,166,781	2,826,715
0044 Total	656,595,653	486,175,166	0	132,504,032	490,036,128	486,175,166	0
0045 Total	23,897,471	5,601,648	0	5,601,648	5,601,648	5,601,648	0
Total 37 - 45	11,325,011,606	9,295,497,390	0	8,489,799,853	9,302,161,806	9,290,473,502	5,023,888
1994 Total	1,145,301,829	1,563,898,067	29,175,638	1,541,821,211	1,512,439,935	1,350,716,708	213,181,359
1995 Total	199,515,652	332,811,908	0	337,823,961	337,819,673	332,811,908	0
1996 Total	818,875,265	782,534,081	0	810,519,652	796,317,209	775,961,690	6,572,391
1997 Total	784,841,452	880,592,335	1,197,681	896,835,251	889,523,409	862,200,086	18,392,249
1998 Total	756,337,867	781,437,855	0	809,755,589	787,130,426	768,890,512	12,547,343
1999 Total	1,572,909,506	1,483,784,097	18,687,644	1,522,980,682	1,481,714,971	1,340,679,443	143,104,654
Total 1994 - 1999	5,277,781,571	5,825,058,343	49,060,963	5,919,736,346	5,804,945,623	5,431,260,347	393,797,996
2000 Total	482,783,149	444,929,296	4,123,472	454,005,462	448,123,299	426,645,607	18,283,689
2001 Total	1,217,606,196	556,100,089	13,873,524	766,685,539	504,633,502	412,588,439	143,511,650
2002 Total	185,231,918	181,394,349	6,607,792	169,935,890	168,610,273	157,408,908	23,985,441
2003 Total	356,485,874	383,932,831	9,713,199	409,965,472	406,851,245	377,032,006	6,900,825
2004 Total	300,172,666	328,952,081	0	334,868,912	334,800,567	328,899,232	52,849
2005 Total	1,794,164,379	1,692,351,110	72,384,505	1,439,777,404	1,430,055,689	1,095,842,071	596,509,039
Total 2000 - 2005	4,336,444,182	3,587,659,756	106,702,492	3,575,238,679	3,293,074,575	2,798,416,263	789,243,493
2006 Total	638,482,565	816,677,552	26,337,554	780,430,516	776,869,387	712,450,162	104,227,390
2007 Total	680,818,950	750,401,175	3,111,913	562,674,640	562,674,640	350,337,741	400,063,434
2008 Total	797,911,567	682,344,930	20,656,089	692,821,681	692,821,681	614,545,957	67,798,973
2009 Total	311,269,313	271,157,412	5,514,980	272,717,857	272,991,903	259,511,267	11,646,145
2010 Total	198,335,248	243,921,397	71,687,000	261,185,437	261,185,437	238,570,608	5,350,789
2011 Total	446,331,643	460,044,244	59,456,409	342,691,634	341,425,844	252,557,264	207,486,980
Total 2006 - 2011	3,073,149,286	3,224,546,710	186,763,945	2,912,521,765	2,907,968,892	2,427,972,999	796,573,711
2012 Total	656,030,067	753,093,644	87,692,762	489,108,675	455,611,271	238,084,914	515,008,730
2013 Total	662,495,401	829,424,470	3,306,193	153,411,227	150,657,330	111,462,512	717,961,958
2014 Total	715,187,309	927,669,808	85,306,879	326,501,735	326,403,601	187,099,987	740,569,821
2015 Total	254,464,165	251,909,423	13,953,303	101,447,427	101,447,427	47,187,896	204,721,527
2016 Total	2,764,956,045	2,761,719,143	4,104,100	195,653,374	195,653,374	53,677,470	2,708,041,673
Total 2012 - 2016	5,053,132,987	5,538,527,642	194,363,237	1,275,580,250	1,239,230,815	637,512,779	4,901,014,863
Grand Total	40,977,207,218	41,045,578,360	536,890,637	36,564,601,399	36,122,337,535	34,159,924,410	6,885,653,950

10. Cumulative Financial Statistics as at 31 December 2016 per Host Nation

Host Nation	LCE	Physical Scope	Authorised Scope	Authorised Funds	Expenditure	LCE RTBS
ACT	35,190,603	3,734,557	18,561,280	18,561,280	15,432,395	19,758,208
Albania	12,562,645	2,624,145	485,353	485,353	415,628	12,147,017
Belgium	999,468,047	5,531,788	861,160,506	861,160,506	820,688,530	178,779,517
Bulgaria	138,482,068	0	58,986,388	56,794,251	53,124,110	85,357,958
Canada	89,240,463	0	79,670,713	79,670,713	79,670,713	9,569,750
Croatia	24,936,781	2,361,645	10,847,698	10,847,698	4,107,791	20,828,990
Czech Republic	138,359,838	5,248,923	139,379,622	137,315,245	127,727,019	10,632,819
Denmark	741,785,181	0	745,663,328	745,035,332	730,078,125	11,707,056
Estonia	107,049,882	0	41,832,089	41,832,089	33,889,705	73,160,177
France	1,047,622,031	0	1,045,836,689	1,021,803,786	1,015,147,627	32,474,404
Germany	6,148,690,431	32,813,786	5,978,147,963	5,975,526,600	5,903,349,004	245,341,427
Greece	2,041,183,113	15,566,808	1,973,902,762	1,960,450,915	1,875,125,403	166,057,710
Hungary	278,220,471	0	153,813,907	153,813,907	141,799,256	136,421,215
Iceland	46,396,814	835,727	9,270,200	9,270,200	5,149,983	41,246,831
Italy	2,559,888,234	97,697,770	2,544,253,559	2,530,659,460	2,341,556,184	218,332,050
Latvia	78,995,139	0	41,923,460	41,713,842	35,567,197	43,427,942
Lithuania	108,556,689	434,700	49,457,868	49,457,868	43,480,501	65,076,188
Luxemburg	59,203,517	0	59,203,517	59,203,517	59,203,517	0
NCI Agency	9,705,696,468	260,167,452	6,946,779,551	6,638,380,865	5,814,568,231	3,891,128,237
Netherlands	995,362,448	5,546,714	985,302,197	980,997,195	940,579,708	54,782,740
NADGE	33,228,276	0	33,228,276	33,228,276	33,228,276	0
Norway	2,233,260,419	0	2,201,121,653	2,198,639,450	2,174,415,557	58,844,862
NSP Agency	1,508,794,429	13,393,664	1,244,116,590	1,239,686,430	1,120,379,780	388,414,649
Poland	682,723,673	15,747,919	590,434,942	566,198,689	419,376,296	263,347,377
Portugal	628,939,643	0	616,983,359	616,983,359	579,640,410	49,299,233
Romania	121,588,198	5,379,955	57,346,987	57,346,617	42,286,116	79,302,082
SHAPE	976,913,150	0	986,868,207	986,751,075	976,913,150	0
Slovakia	58,026,744	2,571,645	40,599,633	40,514,712	39,651,371	18,375,373
Slovenia	53,537,562	2,811,645	40,630,049	40,630,049	36,014,972	17,522,590
Spain	320,798,559	17,316,607	239,759,864	239,697,090	235,982,166	84,816,393
Turkey	4,706,763,039	19,066,463	4,664,532,780	4,633,930,664	4,558,276,471	148,486,568
United Kingdom	2,698,321,836	0	2,711,435,149	2,709,506,462	2,534,253,559	164,068,277
United States	1,665,791,970	28,038,724	1,393,065,260	1,386,244,040	1,368,845,659	296,946,311
Grand Total	41,045,578,360	536,890,637	36,564,601,399	36,122,337,535	34,159,924,410	6,885,653,950

ACT Allied Command Transformation
NADGE NATO Air Defense Ground Environment
NCI Agency NATO Communications and Information Agency
NSP Agency NATO Support and Procurement Agency
SHAPE Supreme Headquarters Allied Powers Europe

11. Cumulative Financial Statistics for Alliance Operations & Missions (AOM) and Readiness Action Plan (RAP)

AOM per Operation

Sub-Requirement Area	Programmed Amount	LCE	Authorised Scope	Authorised Funds	Expenditure	LCE RTBS
ISAF (0102)	1,556,609,219	1,493,555,149	1,554,178,555	1,554,064,752	1,492,949,476	605,673
Balkans (0103)	508,485,560	641,097,460	642,953,707	642,836,575	626,239,277	14,858,183
NTM-I (0104)	33,571,833	28,141,937	31,552,580	31,552,580	28,116,811	25,126
NATO support to Pakistan (Earthquake) (0105)	341,000	321,397	321,397	321,397	321,397	0
OOS (0107)	869,994	336,231	589,699	589,699	113,215	223,016
OAE (0108)	16,187,796	17,158,497	17,635,689	17,635,689	13,098,807	4,059,690
RS - Resolute Support (0109)	43,726,262	57,737,080	51,922,141	51,824,007	30,777,292	26,959,788
	2,159,791,664	2,238,347,751	2,299,153,768	2,298,824,699	2,191,616,275	46,731,476

AOM per Year

Year	Authorised Scope	Authorised Funds	Expenditure
Up to Year 2006	962,135,709	922,216,334	666,834,477
Year 2007	1,164,799,423	1,122,196,139	886,722,529
Year 2008	1,470,910,657	1,387,582,728	1,105,566,892
Year 2009	1,781,566,472	1,769,123,638	1,376,527,418
Year 2010	1,939,252,707	1,927,884,543	1,591,688,877
Year 2011	2,148,880,605	2,130,594,435	1,807,061,631
Year 2012	2,257,113,526	2,256,283,840	1,952,307,624
Year 2013	2,318,417,160	2,317,728,553	2,044,478,412
Year 2014	2,339,339,966	2,338,647,070	2,114,697,721
Year 2015	2,356,062,736	2,355,659,858	2,164,825,562
Year 2016	2,299,153,768	2,298,824,699	2,191,616,275

RAP per nation

HN	Programmed Amount	LCE	Authorised Scope	Authorised Funds	Expenditure	LCE RTBS
BU Total	57,798,000	58,079,459	1,998,674	1,998,674	1,979,511	56,099,948
ES Total	52,733,000	52,931,328	3,507,870	3,507,870	3,507,870	49,423,458
HU Total	47,113,000	45,257,004	2,235,700	2,235,700	1,698,114	43,558,890
LA Total	75,761,000	23,247,718	3,138,253	3,138,253	1,262,567	21,985,151
LI Total	44,321,000	44,453,020	500,000	500,000	0	44,453,020
NCIA Total	7,950,627	8,526,531	10,827,592	10,827,592	4,907,280	3,619,251
PL Total	114,919,000	98,843,892	1,259,520	1,259,520	755,470	98,088,422
RO Total	56,625,000	47,985,132	1,421,571	1,421,571	1,298,059	46,687,073
SK Total	9,565,000	7,794,499	3,762,295	3,762,295	3,582,049	4,212,450
US Total	286,420,000	280,658,018	6,261,297	6,261,297	0	280,658,018
Grand Total	753,205,627	667,776,601	34,912,772	34,912,772	18,990,920	648,785,681

12. Summary over 10 Years of Financial Statistics

(EUR million)

(0)	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)
Year	Programming	Physical Scope	Net Authorised Scope	Net Authorised Funds	Forecasted Expenditure	MTRP without slippage factors	Financial Expend. Rate Versus MTRP (8)/(6)	Expenditure	Financial Expenditure rate (8)/(5)	Excess/ (Shortfall) forecasts (5)-(8)	Contributions Paid (5) - (10) year -1	Other Income	Approved Ceiling	Contribution ceiling not called
2007	679.5	63.10	740.0	695.4	709.7	X	X	657.6	93%	52.1	640.5		640.47	(0)
2008	569.5	69.00	734.6	836.9	691.2			653.9	95%	37.3	639.7		640.47	1
2009	465.8	(14.40)	919.8	932.3	697.5			777.0	111%	(79.5)	657.7	(17.30)	640.47	0
2010	220.4	(0.30)	336.3	522.6	870.4			651.1	75%	219.3	949.9		949.90	0
2011	472.8	283.70	615.9	878.9	818.7			686.3	84%	132.4	599.4		875.00	276
2012	680.8	34.00	518.0	634.7	664.1			555.1	84%	109.0	531.7		800.00	268
2013	674.9	180.40	603.0	644.8	663.8	1,128.4	47%	525.9	79%	137.9	554.8	700.00	145	
2014	700.0	177.00	207.6	512.6	671.8	849.1	63%	538.5	80%	1st half 2014 28.8	→ 505.1	700 *	195	
										2nd half 2014 104.5	→			
2015	248.0	(261.2)	754.8	748.9	619.8	867.1	69%	595.4	96%	1st half 2015 20.4	→ 494.9 **	700 ***	205	
										2nd half 2015 4.0	→			
2016	2,826.1	(97.0)	511.4	576.3	587.5	884.9	57%	508.5	87%	1st half 2016 51.6	→ 531.9	690	158	

* The RPPB agreed that the amount exceeding the EUR 650 million will not be released until appropriate progress had been made on the follow-up tasking from the February 2013 Defence Ministerial meeting. The release of the additional MEUR 50 was not sought.

** Further to the Go live of CIRIS in July 2014, the computation of contributions is now based on quarterly carry forward instead of twice yearly. The excess forecast from 2nd half 2014 plus the excess forecast from the 1st half 2015 was used to compute the contributions 2015.

*** Ceiling of MEUR 625 plus MEUR 75 dedicated for RAP projects.

13. Cumulative Contributions as at 31 December 2016 per Nation

AUTHORISED NATIONS	CUMULATIVE CONTRIBUTIONS				CUMULATIVE CONTRIBUTIONS			
	2015		Closure NACMA former Administrative Budget		2016		2016	
	RECEIVED	PAID	RECEIVED	PAID	RECEIVED	PAID	RECEIVED	PAID
ACT	13,954,822	-495,656	0	0	999,419	0	14,954,241	-495,656
Albania	161,597	1,225,067	0	0	284,982	251,875	446,579	1,476,942
Belgium/Belgique	809,635,209	1,470,435,741	0	26,323	596,438	13,877,597	810,231,647	1,484,339,661
Bulgaria	45,046,624	19,300,501	0	3,009	8,116,079	1,681,152	53,162,703	20,984,662
Canada	79,663,975	1,845,324,875	0	34,604	5,554	28,647,580	79,669,529	1,874,007,059
Croatia	4,311,251	8,274,148	0	0	2,949,442	905,780	7,260,693	9,179,928
Czech Republic	124,423,029	89,261,617	0	7,738	703,242	4,884,676	125,126,271	94,154,031
Denmark/Danemark	704,846,591	1,065,448,218	0	18,635	751,863	9,376,617	705,598,454	1,074,843,470
Estonia	32,654,515	48,331,993	0	946	2,844,190	536,602	35,498,705	48,869,541
France	1,031,603,488	1,664,603,265	0	112,656	-174,825	53,528,174	1,031,428,663	1,718,244,095
Germany	5,726,221,491	7,263,498,787	0	149,955	41,088,299	88,396,439	5,767,309,790	7,352,045,181
Greece	1,835,018,048	285,553,818	0	8,442	7,795,793	5,641,682	1,842,813,841	291,203,942
Hungary	136,041,391	58,920,190	0	5,589	5,839,620	3,596,251	141,881,011	62,522,030
Iceland	8,082,420	42,878,878	0	0	1,401,407	142,368	9,483,827	43,021,246
Italy	2,255,816,506	2,565,002,337	0	59,816	31,237,414	43,857,825	2,287,053,920	2,608,919,978
Latvia	33,144,553	8,351,705	0	1,204	3,060,265	723,542	36,204,818	9,076,451
Lithuania	41,613,532	11,780,290	0	1,806	334,245	1,089,221	41,947,777	12,871,317
Luxembourg	59,203,517	63,055,393	0	1,192	0	779,740	59,203,517	63,836,325
NCIA (NC3A & NACMA)	4,944,255,078	1,949,809	0	0	342,449,136	0	5,286,704,214	1,949,809
Norway	2,122,071,609	897,401,045	0	15,388	4,582,939	9,172,674	2,126,654,548	906,589,107
NSPA (NAMSA & CEPMA)	1,095,049,376	0	0	0	26,824,248	0	1,121,873,624	0
Poland	398,464,013	232,637,424	0	21,323	23,003,094	13,413,413	421,467,107	246,072,160
Portugal	578,942,930	107,469,463	0	3,907	842,436	3,822,140	579,785,366	111,295,510
Romania	35,116,978	62,602,609	0	9,802	7,169,137	5,563,160	42,286,115	68,175,571
SHAPE	980,162,001	9,343,058	0	0	-3,287,031	0	976,874,970	9,343,058
Slovakia	35,116,300	25,743,244	0	3,955	4,551,101	2,330,717	39,667,401	28,077,916
Slovenia	33,280,688	14,511,036	0	2,236	3,367,861	1,223,280	36,648,549	15,736,552
Spain	229,834,932	371,439,930	0	28,511	3,999,624	23,319,141	233,834,556	394,787,582
The Netherlands	924,204,219	1,532,617,405	0	29,609	-596,889	18,452,913	923,607,330	1,551,099,927
Turkey	4,502,589,014	402,178,954	0	10,694	-2,315,539	14,807,850	4,500,273,475	416,997,498
United Kingdom	2,535,188,767	4,073,038,915	0	103,680	-376,857	62,014,562	2,534,811,910	4,135,157,157
United States	1,362,797,581	9,038,547,111	0	183,166	13,839,537	119,849,253	1,376,637,118	9,158,579,530
NC3A Administrative Budget	328,414,511	0	0	0	0	0	328,414,511	0
NACMA Administrative Budget	220,571,407	0	844,186	0	0	0	221,415,593	0
NADGE	12,996,947	0	0	0	0	0	12,996,947	0
TOTAL	33,281,067,123	33,281,067,123	844,186	844,186	531,886,224	531,886,224	33,813,797,533	33,813,797,533

Former NACMA Administrative Budget funded out Miscellaneous receipts over the years and up to 2008 .

NSIP - GLOSSARY OF TERMS

Alliance Operations and Missions (AOM)	Alliance Operations and Missions Requirement is the military capability NATO needs to be able to accomplish its missions and operations.
Authorised Nation (AN)	Ally or Agency or Strategic Command receiving the authorisation and therefore responsible and accountable for the implementation of the NSIP.
Audit - Project	The NSIP Project Audit is an examination of the project accounts presented by the HN, in order to enable the Council and, through their Permanent Representatives, the Governments of member countries to satisfy themselves that common funds have been properly used for the settlement of authorised expenditure.
Authorised Scope	The Investment Committee authorises Nation/Agency to implement specific works (build a hangar, procure and install 25 radios, etc). The specific works ("what") are known as the physical scope, and the amount of funds the AN may commit ("how much") is known as the financial scope.
Authorised Funds	The maximum amount of funds an AN is allowed to spend.
Call for Contributions (CfC)	The Call for Contributions is issued four times per year, requesting nations to pay each other through multilateral compensation for a specified amount and currency based upon the cost share arrangement applicable.
Capability Package (CP)	<p>A Capability Package is a combination of national and NATO-funded assets and support facilities which, together, will enable a Major NATO Command to fulfil a specific military function or requirement. It is known as the tool used by the resource community to provide a capability needed by the Strategic Commander to fulfil a military requirement.</p> <p>An approval of a Capability Package results in the programming of common funds needed to complete NSIP projects proposed in the CP.</p>
Capability Package Implementation Plan (PIP)	The Capability Package Implementation Plan is a document produced for the IC upon approval of the CP which outlines the implementation and expenditure profiles for the projects in the CPs. It has the aim to help the HN to understand better the requirements (shortfalls, corrections, O&M costs), to refine the schedule and indicate a baseline against which the implementation progress of the CP and of the individual projects can be measured.
Certificate of Final Financial Acceptance (COFFA)	<p>A Certificate of Final Financial Acceptance is a certificate issued by the International Board of Auditors, per sub project, when the project outputs/projects deliverables have been formally accepted and the audit examination is completed.</p> <p>The COFFA formally relieves HN of any further accountability for funds authorised and expended.</p>
Common Funded Integrated Resources Information System (CIRIS)	This is the NSIP database where any information concerning the program is stored.

Common Funding (CF)	Common Funding are formal arrangements which have been put in place whereby member nations, collectively, provide funds to NATO. There are three different types of CF in NATO: the Civil Budget, the Military Budget, and the NSIP.
Completed project	Financially completed projects have received a COFFA from the IBAN and the list of completed projects has been to the IC for agreement.
Contributions	Contributions, as approved by the IC, are the amounts paid and received by the participating nations in accordance with the agreed cost sharing arrangements.
Contribution ceiling	The contribution ceiling is the NAC-approved upper limit on the funds to be contributed by the member nations during a calendar year.
De-authorisation	A de-authorisation is the reduction of scope and/or funds within a project.
Decision sheets	Decision sheets record the decisions reached by a committee/board.
Excess/Shortfall	Difference between the forecasts paid and the actual expenditure reported by Host Nation for the same period. This was previously referred as carry forward.
Expenditure	Level of expenditure incurred for the implementation of an NSIP funded project.
Expenditure rate	The expenditure rate is the ratio of the amount actually expended in a period compared to the amount that was forecasted for the same period.
Financial Increments	For large project, the IC may initially authorize full scope and a reduced amount in financial funds, which is also called Financial Increments. Financial Increments are partial financial authorisations and are the disbursement authority for funds.
Forecasts of expenditure	Amount forecasted by Nations/Agencies to be expended during the life time of an NSIP funded project. Forecasts of expenditure are provided per quarters/years in the NSIP database (CIRIS).
International Board of Auditors for NATO (IBAN)	The IBAN is the independent, external audit body of NATO.
Investment Committee (IC)	The Investment Committee is one of NATO's Resource Committees and responsible for the implementation of the NSIP, either from Capability packages as screened by the RPPB and approved by the NAC or from the delegated authority granted to IC for Alliance Operations & Missions or Minor Works/Urgent Requirements.
Latest Cost Estimate (LCE)	The Latest Cost Estimate is the sum of the amount already expended plus the future expenses forecasted by the HN in its Quarterly Financial Report. The LCE is the total estimated cost to bring the NSIP project to completion.
Latest Cost Estimate (LCE) remaining to be spent (RTBS)	This is the amount of LCE unspent i.e. Latest Cost Estimate minus Expenditure

Lump Sum	<p>A Lump Sum can be defined as a fixed, once and for all, comprehensive authorization. .granted when it is very difficult and impractical to separate the NATO scope from the national scope.</p> <p>Once a nation has received a lump sum contribution it has no further claim on NATO for cost over runs and must complete the works from its own resources.</p>
Lump Sum conversion	<p>Process of converting a normal authorization into a lump sum due to lack of documentation or in the framework of accelerated closure. Those lump sum conversions are not subject to audit by IBAN.</p>
Medium Term Resource Plan (MTRP)	<p>The Medium Term Resource Plan is the primary document used for short to medium-term resource planning and is prepared and approved by NAC on an annual basis. It provides a comprehensive overview of and forward look on activities requiring Common Funding and covers the three NATO resource pillars of investment, O&M and manpower. The MTRP makes recommendations on the resources to be allocated to the Military Budget and the NSIP for the budget year and to set NSIP planning figures for a five-year outlook period.</p>
Minor Work	<p>A Minor Work is defined as discrete project, straightforward, self-standing and completely usable, not part of a currently proposed project and not the planning or a consulting aspect associated with a larger project. Minor Works must be in line with the current guidelines for CF. Ceiling, per MW, is currently set at EUR 750,000.</p>
Physical scope (including released and cancelled)	<p>The physical scope describes the exact nature and range of the work supported for authorisation by the IC with a breakdown of the cost estimate by items as set out in the screening document prepared by the IS.</p> <p>Released Physical scope: when financial scope has been authorised granting contracting authority.</p> <p>Cancelled Physical scope: when physical scope is no longer required.</p>
Prefinancing	<p>Prefinancing is a procedure by which a HN may declare to NATO its intention to fund from national resources a project which may later qualify for NSIP funding. In this manner the project avoids being classified as “a posteriori”.</p>
Programming	<p>There are 4 types of programming a project. The CP and SAP project process follow the same format of programming. The CPs and SAPs are reviewed by the RPPB and the MC and formerly approved by the NAC. The Minor Works, Urgent Requirements and Alliance Operations & Missions for which programming authority has been delegated to the IC, the projects are considered programmed as from the time of the first IC authorisation against the project.</p>
Requirement Area	<p>Grouping of NATO strategic objectives</p>
Stand Alone Project (SAP)	<p>A SAP is one of the 3 special ways of programming beside the CP-method and is handled in exactly the same way as a CP. A SAP submission is the means to program NSIP funds for a project that is not part of a larger capability. From a staffing viewpoint, a SAP is a CP with just one project.</p>
Urgent Requirement (UR)	<p>Urgent requirements are programmed by the IC under delegated authority and are not part of a CP or SAP project for reasons of urgency (AOM are also considered URs). URs are military requirements that are in line with CF guidelines. They are based on operational, safety, economic and environmental considerations and need to be implemented promptly to ensure that the current operational capability is maintained. URs are authorised on a case by case basis by the IC.</p>

Virtually completed projects	A project is considered Virtually completed when its expenditure exceeds 90% of the Latest Cost Estimate or when it is a completed projects not yet certified or certified but not yet included in a List of Completed Projects.
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