



TOWARDS THE NEW STRATEGIC CONCEPT

A selection of background documents



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The North Atlantic Treaty (1949)

Washington D.C. - 4 April 1949

The Parties to this Treaty reaffirm their faith in the purposes and principles of the Charter of the United Nations and their desire to live in peace with all peoples and all governments.

They are determined to safeguard the freedom, common heritage and civilisation of their peoples, founded on the principles of democracy, individual liberty and the rule of law. They seek to promote stability and well-being in the North Atlantic area.

They are resolved to unite their efforts for collective defence and for the preservation of peace and security. They therefore agree to this North Atlantic Treaty:

Article 1

The Parties undertake, as set forth in the Charter of the United Nations, to settle any international dispute in which they may be involved by peaceful means in such a manner that international peace and security and justice are not endangered, and to refrain in their international relations from the threat or use of force in any manner inconsistent with the purposes of the United Nations.

Article 2

The Parties will contribute toward the further development of peaceful and friendly international relations by strengthening their free institutions, by bringing about a better understanding of the principles upon which these institutions are founded, and by promoting conditions of stability and well-being. They will seek to eliminate conflict in their international economic policies and will encourage economic collaboration between any or all of them.

Article 3

In order more effectively to achieve the objectives of this Treaty, the Parties, separately and jointly, by means of continuous and effective self-help and mutual aid, will maintain and develop their individual and collective capacity to resist armed attack.

Article 4

The Parties will consult together whenever, in the opinion of any of them, the territorial integrity, political independence or security of any of the Parties is threatened.

Article 5

The Parties agree that an armed attack against one or more of them in Europe or North America shall be considered an attack against them all and consequently they agree that, if such an armed attack occurs, each of them, in exercise of the right of individual or collective self-defence recognised by Article 51 of the Charter of the United Nations, will assist the Party or Parties so attacked by taking forthwith, individually and in concert with the other Parties, such action as it deems necessary, including the use of armed force, to restore and maintain the security of the North Atlantic area.

Any such armed attack and all measures taken as a result thereof shall immediately be reported to the Security Council. Such measures shall be terminated when the Security Council has taken the measures necessary to restore and maintain international peace and security.



Article 6 (1)

For the purpose of Article 5, an armed attack on one or more of the Parties is deemed to include an armed attack:

- on the territory of any of the Parties in Europe or North America, on the Algerian Departments of France (2), on the territory of or on the Islands under the jurisdiction of any of the Parties in the North Atlantic area north of the Tropic of Cancer;
- on the forces, vessels, or aircraft of any of the Parties, when in or over these territories or any other area in Europe in which occupation forces of any of the Parties were stationed on the date when the Treaty entered into force or the Mediterranean Sea or the North Atlantic area north of the Tropic of Cancer.

Article 7

This Treaty does not affect, and shall not be interpreted as affecting in any way the rights and obligations under the Charter of the Parties which are members of the United Nations, or the primary responsibility of the Security Council for the maintenance of international peace and security.

Article 8

Each Party declares that none of the international engagements now in force between it and any other of the Parties or any third State is in conflict with the provisions of this Treaty, and undertakes not to enter into any international engagement in conflict with this Treaty.

Article 9

The Parties hereby establish a Council, on which each of them shall be represented, to consider matters concerning the implementation of this Treaty. The Council shall be so organised as to be able to meet promptly at any time. The Council shall set up such subsidiary bodies as may be necessary; in particular it shall establish immediately a defence committee which shall recommend measures for the implementation of Articles 3 and 5.

Article 10

The Parties may, by unanimous agreement, invite any other European State in a position to further the principles of this Treaty and to contribute to the security of the North Atlantic area to accede to this Treaty. Any State so invited may become a Party to the Treaty by depositing its instrument of accession with the Government of the United States of America. The Government of the United States of America will inform each of the Parties of the deposit of each such instrument of accession.

Article 11

This Treaty shall be ratified and its provisions carried out by the Parties in accordance with their respective constitutional processes. The instruments of ratification shall be deposited as soon as possible with the Government of the United States of America, which will notify all the other signatories of each deposit. The Treaty shall enter into force between the States which have ratified it as soon as the ratifications of the majority of the signatories, including the ratifications of Belgium, Canada, France, Luxembourg, the Netherlands, the United Kingdom and the United States, have been deposited and shall come into effect with respect to other States on the date of the deposit of their ratifications. (3)



Article 12

After the Treaty has been in force for ten years, or at any time thereafter, the Parties shall, if any of them so requests, consult together for the purpose of reviewing the Treaty, having regard for the factors then affecting peace and security in the North Atlantic area, including the development of universal as well as regional arrangements under the Charter of the United Nations for the maintenance of international peace and security.

Article 13

After the Treaty has been in force for twenty years, any Party may cease to be a Party one year after its notice of denunciation has been given to the Government of the United States of America, which will inform the Governments of the other Parties of the deposit of each notice of denunciation.

Article 14

This Treaty, of which the English and French texts are equally authentic, shall be deposited in the archives of the Government of the United States of America. Duly certified copies will be transmitted by that Government to the Governments of other signatories.

1. The definition of the territories to which Article 5 applies was revised by Article 2 of the Protocol to the North Atlantic Treaty on the accession of Greece and Turkey signed on 22 October 1951.
2. On January 16, 1963, the North Atlantic Council noted that insofar as the former Algerian Departments of France were concerned, the relevant clauses of this Treaty had become inapplicable as from July 3, 1962.
3. The Treaty came into force on 24 August 1949, after the deposition of the ratifications of all signatory states.



Protocol to the North Atlantic Treaty on the Accession of Greece and Turkey

The Parties to the North Atlantic Treaty, signed at Washington on April 4, 1949,

Being satisfied that the security of the North Atlantic area will be enhanced by the accession of the Kingdom of Greece and the Republic of Turkey to that Treaty,

Agree as follows:

Article 1

Upon the entry into force of this Protocol, the Government of the United States of America shall, on behalf of all the Parties, communicate to the Government of the Kingdom of Greece and the Government of the Republic of Turkey an invitation to accede to the North Atlantic Treaty, as it may be modified by Article 2 of the present Protocol. Thereafter the Kingdom of Greece and the Republic of Turkey shall each become a Party on the date when it deposits its instruments of accession with the Government of the United States of America in accordance with Article 10 of the Treaty.

Article 2

If the Republic of Turkey becomes a Party to the North Atlantic Treaty, Article 6 of the Treaty shall, as from the date of the deposit by the Government of the Republic of Turkey of its instruments of accession with the Government of the United States of America, be modified to read as follows:

For the purpose of Article 5, an armed attack on one or more of the Parties is deemed to include an armed attack:

1. on the territory of any of the Parties in Europe or North America, on the Algerian Departments of France, on the territory of Turkey or on the islands under the jurisdiction of any of the Parties in the North Atlantic area north of the Tropic of Cancer;
2. on the forces, vessels, or aircraft of any of the Parties, when in or over these territories or any other area in Europe in which occupation forces of any of the Parties were stationed on the date when the Treaty entered into force or the Mediterranean Sea or the North Atlantic area north of the Tropic of Cancer.

Article 3

The present Protocol shall enter into force when each of the Parties to the North Atlantic Treaty has notified the Government of the United States of America of its acceptance thereof. The Government of the United States of America shall inform all the Parties to the North Atlantic Treaty of the date of the receipt of each such notification and of the date of the entry into force of the present Protocol.

Article 4

The present Protocol, of which the English and French texts are equally authentic, shall be deposited in the Archives of the Government of the United States of America. Duly certified copies thereof shall be transmitted by that Government to the Governments of all the Parties to the North Atlantic Treaty.



Protocol to the North Atlantic Treaty on the Accession of the Federal Republic of Germany

The Parties to the North Atlantic Treaty signed at Washington on April 4, 1949,

Being satisfied that the security of the North Atlantic area will be enhanced by the accession of the Federal Republic of Germany to that Treaty, and Having noted that the Federal Republic of Germany has, by a declaration dated October 3, 1954, accepted the obligations set forth in Article 2 of the Charter of the United Nations and has undertaken upon its accession to the North Atlantic Treaty to refrain from any action inconsistent with the strictly defensive character of that Treaty, and

Having further noted that all member governments have associated themselves with the declaration also made on October 3, 1954, by the Governments of the United States of America, the United Kingdom of Great Britain and Northern Ireland and the French Republic in connection with the aforesaid declaration of the Federal Republic of Germany, Agree as follows:

Article 1

Upon the entry into force of the present Protocol, the Government of the United States of America shall on behalf of all the Parties communicate to the Government of the Federal Republic of Germany an invitation to accede to the North Atlantic Treaty. Thereafter the Federal Republic of Germany shall become a Party to that Treaty on the date when it deposits its instruments of accession with the Government of the United States of America in accordance with Article 10 of the Treaty.

Article 2

The present Protocol shall enter into force, when

- a. each of the Parties to the North Atlantic Treaty has notified to the Government of the United States of America its acceptance thereof,
- b. all instruments of ratification of the Protocol modifying and completing the Brussels Treaty have been deposited with the Belgian Government, and
- c. all instruments of ratification or approval of the Convention on the Presence of Foreign Forces in the Federal Republic of Germany have been deposited with the Government of the Federal Republic of Germany.

The Government of the United States of America shall inform the other Parties to the North Atlantic Treaty of the date of the receipt of each notification of acceptance of the present Protocol and of the date of the entry into force of the present Protocol.

Article 3

The present Protocol, of which the English and French texts are equally authentic, shall be deposited in the Archives of the Government of the United States of America. Duly certified copies thereof shall be transmitted by that Government to the Governments of the other Parties to the North Atlantic Treaty.



Protocol to the North Atlantic Treaty on the Accession of Spain

The Parties to the North Atlantic Treaty, signed at Washington on April 4, 1949,

Being satisfied that the security of the North Atlantic area will be enhanced by the accession of the Kingdom of Spain to that Treaty,

Agree as follows:

Article 1

Upon the entry into force of this Protocol, the Secretary General of the North Atlantic Treaty Organization shall, on behalf of all the Parties, communicate to the Government of the Kingdom of Spain an invitation to accede to the North Atlantic Treaty. In accordance with Article 10 of the Treaty, the Kingdom of Spain shall become a Party on the date when it deposits its instrument of accession with the Government of the United States of America.

Article 2

The present Protocol shall enter into force when each of the Parties to the North Atlantic Treaty has notified the Government of the United States of America of its acceptance thereof. The Government of the United States of America shall inform all the Parties to the North Atlantic Treaty of the date of receipt of each such notification and of the date of the entry into force of the present Protocol.

Article 3

The present Protocol, of which the English and French texts are equally authentic, shall be deposited in the Archives of the Government of the United States of America. Duly certified copies thereof shall be transmitted by that Government to the Governments of all the Parties to the North Atlantic Treaty.



Protocol to the North Atlantic Treaty on the Accession of the Czech Republic

The Parties to the North Atlantic Treaty, signed at Washington on April 4, 1949,

Being satisfied that the security of the North Atlantic area will be enhanced by the accession of the Czech Republic to that Treaty,

Agree as follows :

Article 1

Upon the entry into force of this Protocol, the Secretary General of the North Atlantic Treaty Organization shall, on behalf of all the Parties, communicate to the Government of the Czech Republic an invitation to accede to the North Atlantic Treaty. In accordance with article 10 of the Treaty, the Czech Republic shall become a Party on the date when it deposits its instrument of accession with the Government of the United States of America.

Article 2

The present Protocol shall enter into force when each of the Parties to the North Atlantic Treaty has notified the Government of the United States of America of its acceptance thereof. The Government of the United States of America shall inform all the Parties to the North Atlantic Treaty of the date of receipt of each such notification and of the date of the entry into force of the present Protocol.

Article 3

The present Protocol, of which the English and French texts are equally authentic, shall be deposited in the Archives of the Government of the United States of America. Duly certified copies thereof shall be transmitted by that Government to the Governments of all the Parties to the North Atlantic Treaty.

In witness whereof, the undersigned plenipotentiaries have signed the present Protocol.

Signed at Brussels on the 16th day of December 1997.



Protocol to the North Atlantic Treaty on the Accession of the Republic of Hungary

The Parties to the North Atlantic Treaty, signed at Washington on April 4, 1949,

Being satisfied that the security of the North Atlantic area will be enhanced by the accession of the Republic of Hungary to that Treaty,

Agree as follows :

Article 1

Upon the entry into force of this Protocol, the Secretary General of the North Atlantic Treaty Organization shall, on behalf of all the Parties, communicate to the Government of the Republic of Hungary an invitation to accede to the North Atlantic Treaty. In accordance with article 10 of the Treaty, the Republic of Hungary shall become a Party on the date when it deposits its instrument of accession with the Government of the United States of America.

Article 2

The present Protocol shall enter into force when each of the Parties to the North Atlantic Treaty has notified the Government of the United States of America of its acceptance thereof. The Government of the United States of America shall inform all the Parties to the North Atlantic Treaty of the date of receipt of each such notification and of the date of the entry into force of the present Protocol.

Article 3

The present Protocol, of which the English and French texts are equally authentic, shall be deposited in the Archives of the Government of the United States of America. Duly certified copies thereof shall be transmitted by that Government to the Governments of all the Parties to the North Atlantic Treaty.

In witness whereof, the undersigned plenipotentiaries have signed the present Protocol.

Signed at Brussels on the 16th day of December 1997.



Protocol to the North Atlantic Treaty on the Accession of the Republic of Poland

The Parties to the North Atlantic Treaty, signed at Washington on April 4, 1949,

Being satisfied that the security of the North Atlantic area will be enhanced by the accession of the Republic of Poland to that Treaty,

Agree as follows :

Article 1

Upon the entry into force of this Protocol, the Secretary General of the North Atlantic Treaty Organization shall, on behalf of all the Parties, communicate to the Government of the Republic of Poland an invitation to accede to the North Atlantic Treaty. In accordance with article 10 of the Treaty, the Republic of Poland shall become a Party on the date when it deposits its instrument of accession with the Government of the United States of America.

Article 2

The present Protocol shall enter into force when each of the Parties to the North Atlantic Treaty has notified the Government of the United States of America of its acceptance thereof. The Government of the United States of America shall inform all the Parties to the North Atlantic Treaty of the date of receipt of each such notification and of the date of the entry into force of the present Protocol.

Article 3

The present Protocol, of which the English and French texts are equally authentic, shall be deposited in the Archives of the Government of the United States of America. Duly certified copies thereof shall be transmitted by that Government to the Governments of all the Parties to the North Atlantic Treaty.

In witness whereof, the undersigned plenipotentiaries have signed the present Protocol.

Signed at Brussels on the 16th day of December 1997.



Protocol to the North Atlantic Treaty on the Accession of the Republic of Bulgaria

The Parties to the North Atlantic Treaty, signed at Washington on April 4, 1949,

Being satisfied that the security of the North Atlantic area will be enhanced by the accession of the Republic of Bulgaria to that Treaty,

Agree as follows:

Article 1

Upon the entry into force of this Protocol, the Secretary General of the North Atlantic Treaty Organisation shall, on behalf of all the Parties, communicate to the Government of the Republic of Bulgaria an invitation to accede to the North Atlantic Treaty. In accordance with Article 10 of the Treaty, the Republic of Bulgaria shall become a Party on the date when it deposits its instrument of accession with the Government of the United States of America.

Article 2

The present Protocol shall enter into force when each of the Parties to the North Atlantic Treaty has notified the Government of the United States of America of its acceptance thereof. The Government of the United States of America shall inform all the Parties to the North Atlantic Treaty of the date of receipt of each such notification and of the date of the entry into force of the present Protocol.

Article 3

The present Protocol, of which the English and French texts are equally authentic, shall be deposited in the Archives of the Government of the United States of America. Duly certified copies thereof shall be transmitted by that Government to the Governments of all the Parties to the North Atlantic Treaty.



Protocol to the North Atlantic Treaty on the Accession of the Republic of Estonia

The Parties to the North Atlantic Treaty, signed at Washington on April 4, 1949,

Being satisfied that the security of the North Atlantic area will be enhanced by the accession of the Republic of Estonia to that Treaty,

Agree as follows:

Article 1

Upon the entry into force of this Protocol, the Secretary General of the North Atlantic Treaty Organisation shall, on behalf of all the Parties, communicate to the Government of the Republic of Estonia an invitation to accede to the North Atlantic Treaty. In accordance with Article 10 of the Treaty, the Republic of Estonia shall become a Party on the date when it deposits its instrument of accession with the Government of the United States of America.

Article 2

The present Protocol shall enter into force when each of the Parties to the North Atlantic Treaty has notified the Government of the United States of America of its acceptance thereof. The Government of the United States of America shall inform all the Parties to the North Atlantic Treaty of the date of receipt of each such notification and of the date of the entry into force of the present Protocol.

Article 3

The present Protocol, of which the English and French texts are equally authentic, shall be deposited in the Archives of the Government of the United States of America. Duly certified copies thereof shall be transmitted by that Government to the Governments of all the Parties to the North Atlantic Treaty.



Protocol to the North Atlantic Treaty on the accession of the Republic of Latvia

The Parties to the North Atlantic Treaty, signed at Washington on April 4, 1949,

Being satisfied that the security of the North Atlantic area will be enhanced by the accession of the Republic of Latvia to that Treaty,

Agree as follows:

Article 1

Upon the entry into force of this Protocol, the Secretary General of the North Atlantic Treaty Organisation shall, on behalf of all the Parties, communicate to the Government of the Republic of Latvia an invitation to accede to the North Atlantic Treaty. In accordance with Article 10 of the Treaty, the Republic of Latvia shall become a Party on the date when it deposits its instrument of accession with the Government of the United States of America.

Article 2

The present Protocol shall enter into force when each of the Parties to the North Atlantic Treaty has notified the Government of the United States of America of its acceptance thereof. The Government of the United States of America shall inform all the Parties to the North Atlantic Treaty of the date of receipt of each such notification and of the date of the entry into force of the present Protocol.

Article 3

The present Protocol, of which the English and French texts are equally authentic, shall be deposited in the Archives of the Government of the United States of America. Duly certified copies thereof shall be transmitted by that Government to the Governments of all the Parties to the North Atlantic Treaty.

Protocol to the North Atlantic Treaty on the accession of the Republic of Lithuania

The Parties to the North Atlantic Treaty, signed at Washington on April 4, 1949,

Being satisfied that the security of the North Atlantic area will be enhanced by the accession of the Republic of Lithuania to that Treaty,

Agree as follows:

Article 1

Upon the entry into force of this Protocol, the Secretary General of the North Atlantic Treaty Organisation shall, on behalf of all the Parties, communicate to the Government of the Republic of Lithuania an invitation to accede to the North Atlantic Treaty. In accordance with Article 10 of the Treaty, the Republic of Lithuania shall become a Party on the date when it deposits its instrument of accession with the Government of the United States of America.

Article 2

The present Protocol shall enter into force when each of the Parties to the North Atlantic Treaty has notified the Government of the United States of America of its acceptance thereof. The Government of the United States of America shall inform all the Parties to the North Atlantic Treaty of the date of receipt of each such notification and of the date of the entry into force of the present Protocol.

Article 3

The present Protocol, of which the English and French texts are equally authentic, shall be deposited in the Archives of the Government of the United States of America. Duly certified copies thereof shall be transmitted by that Government to the Governments of all the Parties to the North Atlantic Treaty.



Protocol to the North Atlantic Treaty on the accession of Romania

The Parties to the North Atlantic Treaty, signed at Washington on April 4, 1949,

Being satisfied that the security of the North Atlantic area will be enhanced by the accession of Romania to that Treaty,

Agree as follows:

Article 1

Upon the entry into force of this Protocol, the Secretary General of the North Atlantic Treaty Organisation shall, on behalf of all the Parties, communicate to the Government of Romania an invitation to accede to the North Atlantic Treaty. In accordance with Article 10 of the Treaty, Romania shall become a Party on the date when it deposits its instrument of accession with the Government of the United States of America.

Article 2

The present Protocol shall enter into force when each of the Parties to the North Atlantic Treaty has notified the Government of the United States of America of its acceptance thereof. The Government of the United States of America shall inform all the Parties to the North Atlantic Treaty of the date of receipt of each such notification and of the date of the entry into force of the present Protocol.

Article 3

The present Protocol, of which the English and French texts are equally authentic, shall be deposited in the Archives of the Government of the United States of America. Duly certified copies thereof shall be transmitted by that Government to the Governments of all the Parties to the North Atlantic Treaty.

Protocol to the North Atlantic Treaty on the accession of the Slovak Republic

The Parties to the North Atlantic Treaty, signed at Washington on April 4, 1949,

Being satisfied that the security of the North Atlantic area will be enhanced by the accession of the Slovak Republic to that Treaty,

Agree as follows:

Article 1

Upon the entry into force of this Protocol, the Secretary General of the North Atlantic Treaty Organisation shall, on behalf of all the Parties, communicate to the Government of the Slovak Republic an invitation to accede to the North Atlantic Treaty. In accordance with Article 10 of the Treaty, the Slovak Republic shall become a Party on the date when it deposits its instrument of accession with the Government of the United States of America.

Article 2

The present Protocol shall enter into force when each of the Parties to the North Atlantic Treaty has notified the Government of the United States of America of its acceptance thereof. The Government of the United States of America shall inform all the Parties to the North Atlantic Treaty of the date of receipt of each such notification and of the date of the entry into force of the present Protocol.

Article 3

The present Protocol, of which the English and French texts are equally authentic, shall be deposited in the Archives of the Government of the United States of America. Duly certified copies thereof shall be transmitted by that Government to the Governments of all the Parties to the North Atlantic Treaty.



Protocol to the North Atlantic Treaty on the accession of the Republic of Slovenia

The Parties to the North Atlantic Treaty, signed at Washington on April 4, 1949,

Being satisfied that the security of the North Atlantic area will be enhanced by the accession of the Republic of Slovenia to that Treaty,

Agree as follows:

Article 1

Upon the entry into force of this Protocol, the Secretary General of the North Atlantic Treaty Organisation shall, on behalf of all the Parties, communicate to the Government of the Republic of Slovenia an invitation to accede to the North Atlantic Treaty. In accordance with Article 10 of the Treaty, the Republic of Slovenia shall become a Party on the date when it deposits its instrument of accession with the Government of the United States of America.

Article 2

The present Protocol shall enter into force when each of the Parties to the North Atlantic Treaty has notified the Government of the United States of America of its acceptance thereof. The Government of the United States of America shall inform all the Parties to the North Atlantic Treaty of the date of receipt of each such notification and of the date of the entry into force of the present Protocol.

Article 3

The present Protocol, of which the English and French texts are equally authentic, shall be deposited in the Archives of the Government of the United States of America. Duly certified copies thereof shall be transmitted by that Government to the Governments of all the Parties to the North Atlantic Treaty.



Protocol to the North Atlantic Treaty on the Accession of the Republic of Albania

The Parties to the North Atlantic Treaty, signed at Washington on April 4, 1949,

Being satisfied that the security of the North Atlantic area will be enhanced by the accession of the Republic of Albania to that Treaty,

Agree as follows:

Article 1

Upon the entry into force of this Protocol, the Secretary General of the North Atlantic Treaty Organisation shall, on behalf of all the Parties, communicate to the Government of the Republic of Albania an invitation to accede to the North Atlantic Treaty. In accordance with article 10 of the Treaty, the Republic of Albania shall become a Party on the date when it deposits its instrument of accession with the Government of the United States of America.

Article 2

The present Protocol shall enter into force when each of the Parties to the North Atlantic Treaty has notified the Government of the United States of America of its acceptance thereof. The Government of the United States of America shall inform all the Parties to the North Atlantic Treaty of the date of receipt of each such notification and of the date of the entry into force of the present Protocol.

Article 3

The present Protocol, of which the English and French texts are equally authentic, shall be deposited in the Archives of the Government of the United States of America. Duly certified copies thereof shall be transmitted by that Government to the Governments of all the Parties to the North Atlantic Treaty.

In witness whereof, the undersigned plenipotentiaries have signed the present Protocol.

Signed at Brussels on the 1st day of April 2009.



Protocol to the North Atlantic Treaty on the Accession of the Republic of Croatia

The Parties to the North Atlantic Treaty, signed at Washington on April 4, 1949,

Being satisfied that the security of the North Atlantic area will be enhanced by the accession of the Republic of Croatia to that Treaty,

Agree as follows:

Article 1

Upon the entry into force of this Protocol, the Secretary General of the North Atlantic Treaty Organisation shall, on behalf of all the Parties, communicate to the Government of the Republic of Croatia an invitation to accede to the North Atlantic Treaty. In accordance with article 10 of the Treaty, the Republic of Croatia shall become a Party on the date when it deposits its instrument of accession with the Government of the United States of America.

Article 2

The present Protocol shall enter into force when each of the Parties to the North Atlantic Treaty has notified the Government of the United States of America of its acceptance thereof. The Government of the United States of America shall inform all the Parties to the North Atlantic Treaty of the date of receipt of each such notification and of the date of the entry into force of the present Protocol.

Article 3

The present Protocol, of which the English and French texts are equally authentic, shall be deposited in the Archives of the Government of the United States of America. Duly certified copies thereof shall be transmitted by that Government to the Governments of all the Parties to the North Atlantic Treaty.

In witness whereof, the undersigned plenipotentiaries have signed the present Protocol.

Signed at Brussels on the 1st day of April 2009.



The Alliance's Strategic Concept (1991)

agreed by the Heads of State and Government participating in the Meeting of the North Atlantic Council

At their meeting in London in July 1990, NATO's Heads of State and Government agreed on the need to transform the Atlantic Alliance to reflect the new, more promising, era in Europe. While reaffirming the basic principles on which the Alliance has rested since its inception, they recognised that the developments taking place in Europe would have a far-reaching impact on the way in which its aims would be met in future. In particular, they set in hand a fundamental strategic review. The resulting new Strategic Concept is set out below.

Part I - The Strategic Context

The new strategic environment

1. Since 1989, profound political changes have taken place in Central and Eastern Europe which have radically improved the security environment in which the North Atlantic Alliance seeks to achieve its objectives. The USSR's former satellites have fully recovered their sovereignty. The Soviet Union and its Republics are undergoing radical change. The three Baltic Republics have regained their independence. Soviet forces have left Hungary and Czechoslovakia and are due to complete their withdrawal from Poland and Germany by 1994. All the countries that were formerly adversaries of NATO have dismantled the Warsaw Pact and rejected ideological hostility to the West. They have, in varying degrees, embraced and begun to implement policies aimed at achieving pluralistic democracy, the rule of law, respect for human rights and a market economy. The political division of Europe that was the source of the military confrontation of the Cold War period has thus been overcome.
2. In the West, there have also been significant changes. Germany has been united and remains a full member of the Alliance and of European institutions. The fact that the countries of the European Community are working towards the goal of political union, including the development of a European security identity, and the enhancement of the role of the WEU are important factors for European security. The strengthening of the security dimension in the process of European integration, and the enhancement of the role and responsibilities of European members of the Alliance are positive and mutually reinforcing. The development of a European security identity and defence role, reflected in the strengthening of the European pillar within the Alliance, will not only serve the interests of the European states but also reinforce the integrity and effectiveness of the Alliance as a whole.
3. Substantial progress in arms control has already enhanced stability and security by lowering arms levels and increasing military transparency and mutual confidence (including through the Stockholm CDE agreement of 1986, the INF Treaty of 1987 and the CSCE agreements and confidence and security-building measures of 1990). Implementation of the 1991 START Treaty will lead to increased stability through substantial and balanced reductions in the field of strategic nuclear arms. Further far-reaching changes and reductions in the nuclear forces of the United States and the Soviet Union will be pursued following President Bush's September 1991 initiative. Also of great importance is the Treaty on Conventional Armed Forces in Europe (CFE), signed at the 1990 Paris Summit; its implementation will remove the Alliance's numerical inferiority in key conventional weapon systems and provide for effective verification procedures. All these developments will also result in an unprecedented degree of military transparency in Europe, thus increasing predictability and mutual confidence. Such transparency would be further enhanced by the achievement of an Open Skies regime. There are welcome prospects for further advances in arms



control in conventional and nuclear forces, and for the achievement of a global ban on chemical weapons, as well as restricting de-stabilising arms exports and the proliferation of certain weapons technologies.

4. The CSCE process, which began in Helsinki in 1975, has already contributed significantly to overcoming the division of Europe. As a result of the Paris Summit, it now includes new institutional arrangements and provides a contractual framework for consultation and cooperation that can play a constructive role, complementary to that of NATO and the process of European integration, in preserving peace.
5. The historic changes that have occurred in Europe, which have led to the fulfilment of a number of objectives set out in the Harmel Report, have significantly improved the overall security of the Allies. The monolithic, massive and potentially immediate threat which was the principal concern of the Alliance in its first forty years has disappeared. On the other hand, a great deal of uncertainty about the future and risks to the security of the Alliance remain.
6. The new Strategic Concept looks forward to a security environment in which the positive changes referred to above have come to fruition. In particular, it assumes both the completion of the planned withdrawal of Soviet military forces from Central and Eastern Europe and the full implementation by all parties of the 1990 CFE Treaty. The implementation of the Strategic Concept will thus be kept under review in the light of the evolving security environment and in particular progress in fulfilling these assumptions. Further adaptation will be made to the extent necessary.

Security challenges and risks

7. The security challenges and risks which NATO faces are different in nature from what they were in the past. The threat of a simultaneous, full-scale attack on all of NATO's European fronts has effectively been removed and thus no longer provides the focus for Allied strategy. Particularly in Central Europe, the risk of a surprise attack has been substantially reduced, and minimum Allied warning time has increased accordingly.
8. In contrast with the predominant threat of the past, the risks to Allied security that remain are multi-faceted in nature and multi-directional, which makes them hard to predict and assess. NATO must be capable of responding to such risks if stability in Europe and the security of Alliance members are to be preserved. These risks can arise in various ways.
9. Risks to Allied security are less likely to result from calculated aggression against the territory of the Allies, but rather from the adverse consequences of instabilities that may arise from the serious economic, social and political difficulties, including ethnic rivalries and territorial disputes, which are faced by many countries in central and eastern Europe. The tensions which may result, as long as they remain limited, should not directly threaten the security and territorial integrity of members of the Alliance. They could, however, lead to crises inimical to European stability and even to armed conflicts, which could involve outside powers or spill over into NATO countries, having a direct effect on the security of the Alliance.
10. In the particular case of the Soviet Union, the risks and uncertainties that accompany the process of change cannot be seen in isolation from the fact that its conventional forces are significantly larger than those of any other European State and its large nuclear arsenal comparable only with that of the United States. These capabilities have to be taken into account if stability and security in Europe are to be preserved.

11. The Allies also wish to maintain peaceful and non- adversarial relations with the countries in the Southern Mediterranean and Middle East. The stability and peace of the countries on the southern periphery of Europe are important for the security of the Alliance, as the 1991 Gulf war has shown. This is all the more so because of the build-up of military power and the proliferation of weapons technologies in the area, including weapons of mass destruction and ballistic missiles capable of reaching the territory of some member states of the Alliance.
12. Any armed attack on the territory of the Allies, from whatever direction, would be covered by Articles 5 and 6 of the Washington Treaty. However, Alliance security must also take account of the global context. Alliance security interests can be affected by other risks of a wider nature, including proliferation of weapons of mass destruction, disruption of the flow of vital resources and actions of terrorism and sabotage. Arrangements exist within the Alliance for consultation among the Allies under Article 4 of the Washington Treaty and, where appropriate, coordination of their efforts including their responses to such risks.
13. From the point of view of Alliance strategy, these different risks have to be seen in different ways. Even in a non-adversarial and cooperative relationship, Soviet military capability and build-up potential, including its nuclear dimension, still constitute the most significant factor of which the Alliance has to take account in maintaining the strategic balance in Europe. The end of East-West confrontation has, however, greatly reduced the risk of major conflict in Europe. On the other hand, there is a greater risk of different crises arising, which could develop quickly and would require a rapid response, but they are likely to be of a lesser magnitude.
14. Two conclusions can be drawn from this analysis of the strategic context. The first is that the new environment does not change the purpose or the security functions of the Alliance, but rather underlines their enduring validity. The second, on the other hand, is that the changed environment offers new opportunities for the Alliance to frame its strategy within a broad approach to security.

Part II - Alliance Objectives And Security Functions

The purpose of the Alliance

15. NATO's essential purpose, set out in the Washington Treaty and reiterated in the London Declaration, is to safeguard the freedom and security of all its members by political and military means in accordance with the principles of the United Nations Charter. Based on common values of democracy, human rights and the rule of law, the Alliance has worked since its inception for the establishment of a just and lasting peaceful order in Europe. This Alliance objective remains unchanged.

The nature of the Alliance

16. NATO embodies the transatlantic link by which the security of North America is permanently tied to the security of Europe. It is the practical expression of effective collective effort among its members in support of their common interests.
17. The fundamental operating principle of the Alliance is that of common commitment and mutual co-operation among sovereign states in support of the indivisibility of security for all of its members. Solidarity within the Alliance, given substance and effect by NATO's daily work in both the political and military spheres, ensures that no single Ally is forced to rely upon its own national efforts alone in dealing with basic security challenges. Without depriving member states of their right and duty to assume their sovereign responsibilities in the field of defence, the Alliance enables them through collective effort to enhance their ability to realise their essential national security objectives.



18. The resulting sense of equal security amongst the members of the Alliance, regardless of differences in their circumstances or in their national military capabilities relative to each other, contributes to overall stability within Europe and thus to the creation of conditions conducive to increased co-operation both among Alliance members and with others. It is on this basis that members of the Alliance, together with other nations, are able to pursue the development of co-operative structures of security for a Europe whole and free.

The fundamental tasks of the Alliance

19. The means by which the Alliance pursues its security policy to preserve the peace will continue to include the maintenance of a military capability sufficient to prevent war and to provide for effective defence; an overall capability to manage successfully crises affecting the security of its members; and the pursuit of political efforts favouring dialogue with other nations and the active search for a co-operative approach to European security, including in the field of arms control and disarmament.
20. To achieve its essential purpose, the Alliance performs the following fundamental security tasks:
- I. To provide one of the indispensable foundations for a stable security environment in Europe, based on the growth of democratic institutions and commitment to the peaceful resolution of disputes, in which no country would be able to intimidate or coerce any European nation or to impose hegemony through the threat or use of force.
 - II. To serve, as provided for in Article 4 of the North Atlantic Treaty, as a transatlantic forum for Allied consultations on any issues that affect their vital interests, including possible developments posing risks for members' security, and for appropriate co-ordination of their efforts in fields of common concern.
 - III. To deter and defend against any threat of aggression against the territory of any NATO member state.
 - IV. To preserve the strategic balance within Europe.
21. Other European institutions such as the EC, WEU and CSCE also have roles to play, in accordance with their respective responsibilities and purposes, in these fields. The creation of a European identity in security and defence will underline the preparedness of the Europeans to take a greater share of responsibility for their security and will help to reinforce transatlantic solidarity. However the extent of its membership and of its capabilities gives NATO a particular position in that it can perform all four core security functions. NATO is the essential forum for consultation among the Allies and the forum for agreement on policies bearing on the security and defence commitments of its members under the Washington Treaty.
22. In defining the core functions of the Alliance in the terms set out above, member states confirm that the scope of the Alliance as well as their rights and obligations as provided for in the Washington Treaty remain unchanged.

Part III - A Broad Approach To Security

Protecting peace in a new Europe

23. The Alliance has always sought to achieve its objectives of safeguarding the security and territorial integrity of its members, and establishing a just and lasting peaceful order in Europe, through both political and military means. This comprehensive approach remains the basis of the Alliance's security policy.



24. But what is new is that, with the radical changes in the security situation, the opportunities for achieving Alliance objectives through political means are greater than ever before. It is now possible to draw all the consequences from the fact that security and stability have political, economic, social, and environmental elements as well as the indispensable defence dimension. Managing the diversity of challenges facing the Alliance requires a broad approach to security. This is reflected in three mutually reinforcing elements of Allied security policy; dialogue, co-operation, and the maintenance of a collective defence capability.
25. The Alliance's active pursuit of dialogue and co-operation, underpinned by its commitment to an effective collective defence capability, seeks to reduce the risks of conflict arising out of misunderstanding or design; to build increased mutual understanding and confidence among all European states; to help manage crises affecting the security of the Allies; and to expand the opportunities for a genuine partnership among all European countries in dealing with common security problems.
26. In this regard, the Alliance's arms control and disarmament policy contributes both to dialogue and to co-operation with other nations, and thus will continue to play a major role in the achievement of the Alliance's security objectives. The Allies seek, through arms control and disarmament, to enhance security and stability at the lowest possible level of forces consistent with the requirements of defence. Thus, the Alliance will continue to ensure that defence and arms control and disarmament objectives remain in harmony.
27. In fulfilling its fundamental objectives and core security functions, the Alliance will continue to respect the legitimate security interests of others, and seek the peaceful resolution of disputes as set forth in the Charter of the United Nations. The Alliance will promote peaceful and friendly international relations and support democratic institutions. In this respect, it recognizes the valuable contribution being made by other organizations such as the European Community and the CSCE, and that the roles of these institutions and of the Alliance are complementary.

Dialogue

28. The new situation in Europe has multiplied the opportunities for dialogue on the part of the Alliance with the Soviet Union and the other countries of Central and Eastern Europe. The Alliance has established regular diplomatic liaison and military contacts with the countries of Central and Eastern Europe as provided for in the London Declaration. The Alliance will further promote dialogue through regular diplomatic liaison, including an intensified exchange of views and information on security policy issues. Through such means the Allies, individually and collectively, will seek to make full use of the unprecedented opportunities afforded by the growth of freedom and democracy throughout Europe and encourage greater mutual understanding of respective security concerns, to increase transparency and predictability in security affairs, and thus to reinforce stability. The military can help to overcome the divisions of the past, not least through intensified military contacts and greater military transparency. The Alliance's pursuit of dialogue will provide a foundation for greater co-operation throughout Europe and the ability to resolve differences and conflicts by peaceful means.

Co-operation

29. The Allies are also committed to pursue co-operation with all states in Europe on the basis of the principles set out in the Charter of Paris for a New Europe. They will seek to develop broader and productive patterns of bilateral and multilateral co-operation in all relevant fields of European security, with the aim, inter alia, of preventing crises or, should they arise, ensuring their effective management. Such partnership between the members of the Alliance and other nations in dealing with specific problems will be an essential factor in moving beyond past divisions towards one Europe whole and free. This policy of co-operation is the expression of the inseparability of security among European states. It is built upon a common recognition among Alliance members that the persistence of new political, economic or social divisions across the continent could lead to future instability, and such divisions must thus be diminished.

Collective Defence

30. The political approach to security will thus become increasingly important. Nonetheless, the military dimension remains essential. The maintenance of an adequate military capability and clear preparedness to act collectively in the common defence remain central to the Alliance's security objectives. Such a capability, together with political solidarity, is required in order to prevent any attempt at coercion or intimidation, and to guarantee that military aggression directed against the Alliance can never be perceived as an option with any prospect of success. It is equally indispensable so that dialogue and co-operation can be undertaken with confidence and achieve their desired results.

Management of crisis and conflict prevention

31. In the new political and strategic environment in Europe, the success of the Alliance's policy of preserving peace and preventing war depends even more than in the past on the effectiveness of preventive diplomacy and successful management of crises affecting the security of its members. Any major aggression in Europe is much more unlikely and would be preceded by significant warning time. Though on a much smaller scale, the range and variety of other potential risks facing the Alliance are less predictable than before.

32. In these new circumstances there are increased opportunities for the successful resolution of crises at an early stage. The success of Alliance policy will require a coherent approach determined by the Alliance's political authorities choosing and co-ordinating appropriate crisis management measures as required from a range of political and other measures, including those in the military field. Close control by the political authorities of the Alliance will be applied from the outset and at all stages. Appropriate consultation and decision making procedures are essential to this end.

33. The potential of dialogue and co-operation within all of Europe must be fully developed in order to help to defuse crises and to prevent conflicts since the Allies' security is inseparably linked to that of all other states in Europe. To this end, the Allies will support the role of the CSCE process and its institutions. Other bodies including the European Community, Western European Union and United Nations may also have an important role to play.



Part IV - Guidelines For Defence

Principles of Alliance strategy

34. The diversity of challenges now facing the Alliance thus requires a broad approach to security. The transformed political and strategic environment enables the Alliance to change a number of important features of its military strategy and to set out new guidelines, while reaffirming proven fundamental principles. At the London Summit, it was therefore agreed to prepare a new military strategy and a revised force posture responding to the changed circumstances.
35. Alliance strategy will continue to reflect a number of fundamental principles. The Alliance is purely defensive in purpose: none of its weapons will ever be used except in self-defence, and it does not consider itself to be anyone's adversary. The Allies will maintain military strength adequate to convince any potential aggressor that the use of force against the territory of one of the Allies would meet collective and effective action by all of them and that the risks involved in initiating conflict would outweigh any foreseeable gains. The forces of the Allies must therefore be able to defend Alliance frontiers, to stop an aggressor's advance as far forward as possible, to maintain or restore the territorial integrity of Allied nations and to terminate war rapidly by making an aggressor reconsider his decision, cease his attack and withdraw. The role of the Alliance's military forces is to assure the territorial integrity and political independence of its member states, and thus contribute to peace and stability in Europe.
36. The security of all Allies is indivisible: an attack on one is an attack on all. Alliance solidarity and strategic unity are accordingly crucial prerequisites for collective security. The achievement of the Alliance's objectives depends critically on the equitable sharing of roles, risks and responsibilities, as well as the benefits, of common defence. The presence of North American conventional and US nuclear forces in Europe remains vital to the security of Europe, which is inseparably linked to that of North America. As the process of developing a European security identity and defence role progresses, and is reflected in the strengthening of the European pillar within the Alliance, the European members of the Alliance will assume a greater degree of the responsibility for the defence of Europe.
37. The collective nature of Alliance defence is embodied in practical arrangements that enable the Allies to enjoy the crucial political, military and resource advantages of collective defence, and prevent the renationalisation of defence policies, without depriving the Allies of their sovereignty. These arrangements are based on an integrated military structure as well as on co-operation and co-ordination agreements. Key features include collective force planning; common operational planning; multinational formations; the stationing of forces outside home territory, where appropriate on a mutual basis; crisis management and reinforcement arrangements; procedures for consultation; common standards and procedures for equipment, training and logistics; joint and combined exercises; and infrastructure, armaments and logistics co-operation.
38. To protect peace and to prevent war or any kind of coercion, the Alliance will maintain for the foreseeable future an appropriate mix of nuclear and conventional forces based in Europe and kept up to date where necessary, although at a significantly reduced level. Both elements are essential to Alliance security and cannot substitute one for the other. Conventional forces contribute to war prevention by ensuring that no potential aggressor could contemplate a quick or easy victory, or territorial gains, by conventional means. Taking into account the diversity of risks with which the Alliance could be faced, it must maintain the forces necessary to provide a wide range of conventional response options. But the Alliance's conventional forces alone cannot ensure the prevention of war. Nuclear weapons make a unique contribution in rendering the risks of any aggression incalculable and unacceptable. Thus, they remain essential to preserve peace.



The Alliance's new force posture

39. At the London Summit, the Allies concerned agreed to move away, where appropriate, from the concept of forward defence towards a reduced forward presence, and to modify the principle of flexible response to reflect a reduced reliance on nuclear weapons. The changes stemming from the new strategic environment and the altered risks now facing the Alliance enable significant modifications to be made in the missions of the Allies' military forces and in their posture.

The Missions of Alliance Military Forces

40. The primary role of Alliance military forces, to guarantee the security and territorial integrity of member states, remains unchanged. But this role must take account of the new strategic environment, in which a single massive and global threat has given way to diverse and multi-directional risks. Alliance forces have different functions to perform in peace, crisis and war.
41. In peace, the role of Allied military forces is to guard against risks to the security of Alliance members; to contribute towards the maintenance of stability and balance in Europe; and to ensure that peace is preserved. They can contribute to dialogue and co-operation throughout Europe by their participation in confidence-building activities, including those which enhance transparency and improve communication; as well as in verification of arms control agreements. Allies could, further, be called upon to contribute to global stability and peace by providing forces for United Nations missions.
42. In the event of crises which might lead to a military threat to the security of Alliance members, the Alliance's military forces can complement and reinforce political actions within a broad approach to security, and thereby contribute to the management of such crises and their peaceful resolution. This requires that these forces have a capability for measured and timely responses in such circumstances; the capability to deter action against any Ally and, in the event that aggression takes place, to respond to and repel it as well as to reestablish the territorial integrity of member states.
43. While in the new security environment a general war in Europe has become highly unlikely, it cannot finally be ruled out. The Alliance's military forces, which have as their fundamental mission to protect peace, have to provide the essential insurance against potential risks at the minimum level necessary to prevent war of any kind, and, should aggression occur, to restore peace. Hence the need for the capabilities and the appropriate mix of forces already described.

Guidelines for the Alliance's Force Posture

44. To implement its security objectives and strategic principles in the new environment, the organization of the Allies' forces must be adapted to provide capabilities that can contribute to protecting peace, managing crises that affect the security of Alliance members, and preventing war, while retaining at all times the means to defend, if necessary, all Allied territory and to restore peace. The posture of Allies' forces will conform to the guidelines developed in the following paragraphs.
45. The size, readiness, availability and deployment of the Alliance's military forces will continue to reflect its strictly defensive nature and will be adapted accordingly to the new strategic environment including arms control agreements. This means in particular:
 - a. that the overall size of the Allies' forces, and in many cases their readiness, will be reduced;

- b. that the maintenance of a comprehensive in-place linear defensive posture in the central region will no longer be required. The peacetime geographical distribution of forces will ensure a sufficient military presence throughout the territory of the Alliance, including where necessary forward deployment of appropriate forces. Regional considerations and, in particular, geostrategic differences within the Alliance will have to be taken into account, including the shorter warning times to which the northern and southern regions will be subject compared with the central region and, in the southern region, the potential for instability and the military capabilities in the adjacent areas.
46. To ensure that at this reduced level the Allies' forces can play an effective role both in managing crises and in countering aggression against any Ally, they will require enhanced flexibility and mobility and an assured capability for augmentation when necessary. For these reasons:
- a. Available forces will include, in a limited but militarily significant proportion, ground, air and sea immediate and rapid reaction elements able to respond to a wide range of eventualities, many of which are unforeseeable. They will be of sufficient quality, quantity and readiness to deter a limited attack and, if required, to defend the territory of the Allies against attacks, particularly those launched without long warning time.
 - b. The forces of the Allies will be structured so as to permit their military capability to be built up when necessary. This ability to build up by reinforcement, by mobilising reserves, or by reconstituting forces, must be in proportion to potential threats to Alliance security, including the possibility - albeit unlikely, but one that prudence dictates should not be ruled out - of a major conflict. Consequently, capabilities for timely reinforcement and resupply both within Europe and from North America will be of critical importance.
 - c. Appropriate force structures and procedures, including those that would provide an ability to build up, deploy and draw down forces quickly and discriminately, will be developed to permit measured, flexible and timely responses in order to reduce and defuse tensions. These arrangements must be exercised regularly in peacetime.
 - d. In the event of use of forces, including the deployment of reaction and other available reinforcing forces as an instrument of crisis management, the Alliance's political authorities will, as before, exercise close control over their employment at all stages. Existing procedures will be reviewed in the light of the new missions and posture of Alliance forces.

Characteristics of Conventional Forces

47. It is essential that the Allies' military forces have a credible ability to fulfil their functions in peace, crisis and war in a way appropriate to the new security environment. This will be reflected in force and equipment levels; readiness and availability; training and exercises; deployment and employment options; and force build-up capabilities, all of which will be adjusted accordingly. The conventional forces of the Allies will include, in addition to immediate and rapid reaction forces, main defence forces, which will provide the bulk of forces needed to ensure the Alliance's territorial integrity and the unimpeded use of their lines of communication; and augmentation forces, which will provide a means of reinforcing existing forces in a particular region. Main defence and augmentation forces will comprise both active and mobilisable elements.

48. Ground, maritime and air forces will have to co-operate closely and combine and assist each other in operations aimed at achieving agreed objectives. These forces will consist of the following:
- a. **Ground forces**, which are essential to hold or regain territory. The majority will normally be at lower states of readiness and, overall, there will be a greater reliance on mobilization and reserves. All categories of ground forces will require demonstrable combat effectiveness together with an appropriately enhanced capability for flexible deployment.
 - b. **Maritime forces**, which because of their inherent mobility, flexibility and endurance, make an important contribution to the Alliance's crisis response options. Their essential missions are to ensure sea control in order to safeguard the Allies' sea lines of communication, to support land and amphibious operations, and to protect the deployment of the Alliance's sea-based nuclear deterrent.
 - c. **Air forces**, whose ability to fulfil their fundamental roles in both independent air and combined operations - counter-air, air interdiction and offensive air support - as well as to contribute to surveillance, reconnaissance and electronic warfare operations, is essential to the overall effectiveness of the Allies' military forces. Their role in supporting operations, on land and at sea, will require appropriate long-distance airlift and air refuelling capabilities. Air defence forces, including modern air command and control systems, are required to ensure a secure air defence environment.
49. In light of the potential risks it poses, the proliferation of ballistic missiles and weapons of mass destruction should be given special consideration. Solution of this problem will require complementary approaches including, for example, export control and missile defences.
50. Alliance strategy is not dependent on a chemical warfare capability. The Allies remain committed to the earliest possible achievement of a global, comprehensive, and effectively verifiable ban on all chemical weapons. But, even after implementation of a global ban, precautions of a purely defensive nature will need to be maintained.
51. In the new security environment and given the reduced overall force levels in future, the ability to work closely together, which will facilitate the cost effective use of Alliance resources, will be particularly important for the achievement of the missions of the Allies' forces. The Alliance's collective defence arrangements in which, for those concerned, the integrated military structure, including multinational forces, plays the key role, will be essential in this regard. Integrated and multinational European structures, as they are further developed in the context of an emerging European Defence Identity, will also increasingly have a similarly important role to play in enhancing the Allies' ability to work together in the common defence. Allies' efforts to achieve maximum co-operation will be based on the common guidelines for defence defined above. Practical arrangements will be developed to ensure the necessary mutual transparency and complementarity between the European security and defence identity and the Alliance.
52. In order to be able to respond flexibly to a wide range of possible contingencies, the Allies concerned will require effective surveillance and intelligence, flexible command and control, mobility within and between regions, and appropriate logistics capabilities, including transport capacities. Logistic stocks must be sufficient to sustain all types of forces in order to permit effective defence until resupply is available. The capability of the Allies concerned to build-up larger, adequately equipped and trained forces, in a timely manner and to a level appropriate to any risk to Alliance security, will also make an essential contribution to crisis management and defence. This capability will include the ability to reinforce any area at risk within the territory of the Allies and to establish a multinational presence when and where this is needed. Elements of all three force categories will be capable of being employed

flexibly as part of both intra-European and transatlantic reinforcement. Proper use of these capabilities will require control of the necessary lines of communication as well as appropriate support and exercise arrangements. Civil resources will be of increasing relevance in this context.

53. For the Allies concerned, collective defence arrangements will rely increasingly on multinational forces, complementing national commitments to NATO. Multinational forces demonstrate the Alliance's resolve to maintain a credible collective defence; enhance Alliance cohesion; reinforce the transatlantic partnership and strengthen the European pillar. Multinational forces, and in particular reaction forces, reinforce solidarity. They can also provide a way of deploying more capable formations than might be available purely nationally, thus helping to make more efficient use of scarce defence resources. This may include a highly integrated, multinational approach to specific tasks and functions.

Characteristics of Nuclear Forces

54. The fundamental purpose of the nuclear forces of the Allies is political: to preserve peace and prevent coercion and any kind of war. They will continue to fulfil an essential role by ensuring uncertainty in the mind of any aggressor about the nature of the Allies' response to military aggression. They demonstrate that aggression of any kind is not a rational option. The supreme guarantee of the security of the Allies is provided by the strategic nuclear forces of the Alliance, particularly those of the United States; the independent nuclear forces of the United Kingdom and France, which have a deterrent role of their own, contribute to the overall deterrence and security of the Allies.
55. A credible Alliance nuclear posture and the demonstration of Alliance solidarity and common commitment to war prevention continue to require widespread participation by European Allies involved in collective defence planning in nuclear roles, in peacetime basing of nuclear forces on their territory and in command, control and consultation arrangements. Nuclear forces based in Europe and committed to NATO provide an essential political and military link between the European and the North American members of the Alliance. The Alliance will therefore maintain adequate nuclear forces in Europe. These forces need to have the necessary characteristics and appropriate flexibility and survivability, to be perceived as a credible and effective element of the Allies' strategy in preventing war. They will be maintained at the minimum level sufficient to preserve peace and stability.
56. The Allies concerned consider that, with the radical changes in the security situation, including conventional force levels in Europe maintained in relative balance and increased reaction times, NATO's ability to defuse a crisis through diplomatic and other means or, should it be necessary, to mount a successful conventional defence will significantly improve. The circumstances in which any use of nuclear weapons might have to be contemplated by them are therefore even more remote. They can therefore significantly reduce their sub-strategic nuclear forces. They will maintain adequate sub-strategic forces based in Europe which will provide an essential link with strategic nuclear forces, reinforcing the trans-Atlantic link. These will consist solely of dual capable aircraft which could, if necessary, be supplemented by offshore systems. Sub-strategic nuclear weapons will, however, not be deployed in normal circumstances on surface vessels and attack submarines. There is no requirement for nuclear artillery or ground-launched short- range nuclear missiles and they will be eliminated.



Part V - Conclusion

57. This Strategic Concept reaffirms the defensive nature of the Alliance and the resolve of its members to safeguard their security, sovereignty and territorial integrity. The Alliance's security policy is based on dialogue; co-operation; and effective collective defence as mutually reinforcing instruments for preserving the peace. Making full use of the new opportunities available, the Alliance will maintain security at the lowest possible level of forces consistent with the requirements of defence. In this way, the Alliance is making an essential contribution to promoting a lasting peaceful order.
58. The Allies will continue to pursue vigorously further progress in arms control and confidence-building measures with the objective of enhancing security and stability. They will also play an active part in promoting dialogue and co-operation between states on the basis of the principles enunciated in the Paris Charter.
59. NATO's strategy will retain the flexibility to reflect further developments in the politico-military environment, including progress in the moves towards a European security identity, and in any changes in the risks to Alliance security. For the Allies concerned, the Strategic Concept will form the basis for the further development of the Alliance's defence policy, its operational concepts, its conventional and nuclear force posture and its collective defence planning arrangements.
60. In July 1997, NATO Heads of State and Government agreed that the Strategic Concept should be re-examined to ensure that it remained fully consistent with Europe's new security situation and challenges. The Council was requested to initiate the work with a view to completing it in time for presentation at the next Summit Meeting in 1999.

The Alliance's Strategic Concept (1999)

approved by the Heads of State and Government participating in the meeting of the North Atlantic Council in Washington D.C.

Introduction

1. At their Summit meeting in Washington in April 1999, NATO Heads of State and Government approved the Alliance's new Strategic Concept.
2. NATO has successfully ensured the freedom of its members and prevented war in Europe during the 40 years of the Cold War. By combining defence with dialogue, it played an indispensable role in bringing East-West confrontation to a peaceful end. The dramatic changes in the Euro-Atlantic strategic landscape brought by the end of the Cold War were reflected in the Alliance's 1991 Strategic Concept. There have, however, been further profound political and security developments since then.
3. The dangers of the Cold War have given way to more promising, but also challenging prospects, to new opportunities and risks. A new Europe of greater integration is emerging, and a Euro-Atlantic security structure is evolving in which NATO plays a central part. The Alliance has been at the heart of efforts to establish new patterns of cooperation and mutual understanding across the Euro-Atlantic region and has committed itself to essential new activities in the interest of a wider stability. It has shown the depth of that commitment in its efforts to put an end to the immense human suffering created by conflict in the Balkans. The years since the end of the Cold War have also witnessed important developments in arms control, a process to which the Alliance is fully committed. The Alliance's role in these positive developments has been underpinned by the comprehensive adaptation of its approach to security and of its procedures and structures. The last ten years have also seen, however, the appearance of complex new risks to Euro-Atlantic peace and stability, including oppression, ethnic conflict, economic distress, the collapse of political order, and the proliferation of weapons of mass destruction.
4. The Alliance has an indispensable role to play in consolidating and preserving the positive changes of the recent past, and in meeting current and future security challenges. It has, therefore, a demanding agenda. It must safeguard common security interests in an environment of further, often unpredictable change. It must maintain collective defence and reinforce the transatlantic link and ensure a balance that allows the European Allies to assume greater responsibility. It must deepen its relations with its partners and prepare for the accession of new members. It must, above all, maintain the political will and the military means required by the entire range of its missions.
5. This new Strategic Concept will guide the Alliance as it pursues this agenda. It expresses NATO's enduring purpose and nature and its fundamental security tasks, identifies the central features of the new security environment, specifies the elements of the Alliance's broad approach to security, and provides guidelines for the further adaptation of its military forces.



Part I - The Purpose and Tasks of the Alliance

6. NATO's essential and enduring purpose, set out in the Washington Treaty, is to safeguard the freedom and security of all its members by political and military means. Based on common values of democracy, human rights and the rule of law, the Alliance has striven since its inception to secure a just and lasting peaceful order in Europe. It will continue to do so. The achievement of this aim can be put at risk by crisis and conflict affecting the security of the Euro-Atlantic area. The Alliance therefore not only ensures the defence of its members but contributes to peace and stability in this region.
7. The Alliance embodies the transatlantic link by which the security of North America is permanently tied to the security of Europe. It is the practical expression of effective collective effort among its members in support of their common interests.
8. The fundamental guiding principle by which the Alliance works is that of common commitment and mutual co-operation among sovereign states in support of the indivisibility of security for all of its members. Solidarity and cohesion within the Alliance, through daily cooperation in both the political and military spheres, ensure that no single Ally is forced to rely upon its own national efforts alone in dealing with basic security challenges. Without depriving member states of their right and duty to assume their sovereign responsibilities in the field of defence, the Alliance enables them through collective effort to realise their essential national security objectives.
9. The resulting sense of equal security among the members of the Alliance, regardless of differences in their circumstances or in their national military capabilities, contributes to stability in the Euro-Atlantic area. The Alliance does not seek these benefits for its members alone, but is committed to the creation of conditions conducive to increased partnership, cooperation, and dialogue with others who share its broad political objectives.
10. To achieve its essential purpose, as an Alliance of nations committed to the Washington Treaty and the United Nations Charter, the Alliance performs the following fundamental security tasks:

Security: To provide one of the indispensable foundations for a stable Euro-Atlantic security environment, based on the growth of democratic institutions and commitment to the peaceful resolution of disputes, in which no country would be able to intimidate or coerce any other through the threat or use of force.

Consultation: To serve, as provided for in Article 4 of the Washington Treaty, as an essential transatlantic forum for Allied consultations on any issues that affect their vital interests, including possible developments posing risks for members' security, and for appropriate co-ordination of their efforts in fields of common concern.

Deterrence and Defence: To deter and defend against any threat of aggression against any NATO member state as provided for in Articles 5 and 6 of the Washington Treaty.

And in order to enhance the security and stability of the Euro-Atlantic area:

- **Crisis Management:** To stand ready, case-by-case and by consensus, in conformity with Article 7 of the Washington Treaty, to contribute to effective conflict prevention and to engage actively in crisis management, including crisis response operations.
- **Partnership:** To promote wide-ranging partnership, cooperation, and dialogue with other countries in the Euro-Atlantic area, with the aim of increasing transparency, mutual confidence and the capacity for joint action with the Alliance.

11. In fulfilling its purpose and fundamental security tasks, the Alliance will continue to respect the legitimate security interests of others, and seek the peaceful resolution of disputes as set out in the Charter of the United Nations. The Alliance will promote peaceful and friendly international relations and support democratic institutions. The Alliance does not consider itself to be any country's adversary.

Part II - Strategic Perspectives

The Evolving Strategic Environment

12. The Alliance operates in an environment of continuing change. Developments in recent years have been generally positive, but uncertainties and risks remain which can develop into acute crises. Within this evolving context, NATO has played an essential part in strengthening Euro-Atlantic security since the end of the Cold War. Its growing political role; its increased political and military partnership, cooperation and dialogue with other states, including with Russia, Ukraine and Mediterranean Dialogue countries; its continuing openness to the accession of new members; its collaboration with other international organisations; its commitment, exemplified in the Balkans, to conflict prevention and crisis management, including through peace support operations: all reflect its determination to shape its security environment and enhance the peace and stability of the Euro-Atlantic area.
13. In parallel, NATO has successfully adapted to enhance its ability to contribute to Euro-Atlantic peace and stability. Internal reform has included a new command structure, including the Combined Joint Task Force (CJTF) concept, the creation of arrangements to permit the rapid deployment of forces for the full range of the Alliance's missions, and the building of the European Security and Defence Identity (ESDI) within the Alliance.
14. The United Nations (UN), the Organisation for Security and Cooperation in Europe (OSCE), the European Union (EU), and the Western European Union (WEU) have made distinctive contributions to Euro-Atlantic security and stability. Mutually reinforcing organisations have become a central feature of the security environment.
15. The United Nations Security Council has the primary responsibility for the maintenance of international peace and security and, as such, plays a crucial role in contributing to security and stability in the Euro-Atlantic area.
16. The OSCE, as a regional arrangement, is the most inclusive security organisation in Europe, which also includes Canada and the United States, and plays an essential role in promoting peace and stability, enhancing cooperative security, and advancing democracy and human rights in Europe. The OSCE is particularly active in the fields of preventive diplomacy, conflict prevention, crisis management, and post-conflict rehabilitation. NATO and the OSCE have developed close practical cooperation, especially with regard to the international effort to bring peace to the former Yugoslavia.
17. The European Union has taken important decisions and given a further impetus to its efforts to strengthen its security and defence dimension. This process will have implications for the entire Alliance, and all European Allies should be involved in it, building on arrangements developed by NATO and the WEU. The development of a common foreign and security policy (CFSP) includes the progressive framing of a common defence policy. Such a policy, as called for in the Amsterdam Treaty, would be compatible with the common security and defence policy established within the framework of the Washington Treaty. Important steps taken in this context include the incorporation of the WEU's Petersberg tasks into the Treaty on European Union and the development of closer institutional relations with the WEU.



18. As stated in the 1994 Summit declaration and reaffirmed in Berlin in 1996, the Alliance fully supports the development of the European Security and Defence Identity within the Alliance by making available its assets and capabilities for WEU-led operations. To this end, the Alliance and the WEU have developed a close relationship and put into place key elements of the ESDI as agreed in Berlin. In order to enhance peace and stability in Europe and more widely, the European Allies are strengthening their capacity for action, including by increasing their military capabilities. The increase of the responsibilities and capacities of the European Allies with respect to security and defence enhances the security environment of the Alliance.
19. The stability, transparency, predictability, lower levels of armaments, and verification which can be provided by arms control and non-proliferation agreements support NATO's political and military efforts to achieve its strategic objectives. The Allies have played a major part in the significant achievements in this field. These include the enhanced stability produced by the CFE Treaty, the deep reductions in nuclear weapons provided for in the START treaties; the signature of the Comprehensive Test Ban Treaty, the indefinite and unconditional extension of the Nuclear Non-Proliferation Treaty, the accession to it of Belarus, Kazakhstan, and Ukraine as non-nuclear weapons states, and the entry into force of the Chemical Weapons Convention. The Ottawa Convention to ban anti-personnel landmines and similar agreements make an important contribution to alleviating human suffering. There are welcome prospects for further advances in arms control in conventional weapons and with respect to nuclear, chemical, and biological (NBC) weapons.

Security challenges and risks

20. Notwithstanding positive developments in the strategic environment and the fact that large-scale conventional aggression against the Alliance is highly unlikely, the possibility of such a threat emerging over the longer term exists. The security of the Alliance remains subject to a wide variety of military and non-military risks which are multi-directional and often difficult to predict. These risks include uncertainty and instability in and around the Euro-Atlantic area and the possibility of regional crises at the periphery of the Alliance, which could evolve rapidly. Some countries in and around the Euro-Atlantic area face serious economic, social and political difficulties. Ethnic and religious rivalries, territorial disputes, inadequate or failed efforts at reform, the abuse of human rights, and the dissolution of states can lead to local and even regional instability. The resulting tensions could lead to crises affecting Euro-Atlantic stability, to human suffering, and to armed conflicts. Such conflicts could affect the security of the Alliance by spilling over into neighbouring countries, including NATO countries, or in other ways, and could also affect the security of other states.
21. The existence of powerful nuclear forces outside the Alliance also constitutes a significant factor which the Alliance has to take into account if security and stability in the Euro-Atlantic area are to be maintained.
22. The proliferation of NBC weapons and their means of delivery remains a matter of serious concern. In spite of welcome progress in strengthening international non-proliferation regimes, major challenges with respect to proliferation remain. The Alliance recognises that proliferation can occur despite efforts to prevent it and can pose a direct military threat to the Allies' populations, territory, and forces. Some states, including on NATO's periphery and in other regions, sell or acquire or try to acquire NBC weapons and delivery means. Commodities and technology that could be used to build these weapons of mass destruction and their delivery means are becoming more common, while detection and prevention of illicit trade in these materials and know-how continues to be difficult. Non-state actors have shown the potential to create and use some of these weapons.

23. The global spread of technology that can be of use in the production of weapons may result in the greater availability of sophisticated military capabilities, permitting adversaries to acquire highly capable offensive and defensive air, land, and sea-borne systems, cruise missiles, and other advanced weaponry. In addition, state and non-state adversaries may try to exploit the Alliance's growing reliance on information systems through information operations designed to disrupt such systems. They may attempt to use strategies of this kind to counter NATO's superiority in traditional weaponry.
24. Any armed attack on the territory of the Allies, from whatever direction, would be covered by Articles 5 and 6 of the Washington Treaty. However, Alliance security must also take account of the global context. Alliance security interests can be affected by other risks of a wider nature, including acts of terrorism, sabotage and organised crime, and by the disruption of the flow of vital resources. The uncontrolled movement of large numbers of people, particularly as a consequence of armed conflicts, can also pose problems for security and stability affecting the Alliance. Arrangements exist within the Alliance for consultation among the Allies under Article 4 of the Washington Treaty and, where appropriate, co-ordination of their efforts including their responses to risks of this kind.

Part III - The Approach to Security in the 21st Century

25. The Alliance is committed to a broad approach to security, which recognises the importance of political, economic, social and environmental factors in addition to the indispensable defence dimension. This broad approach forms the basis for the Alliance to accomplish its fundamental security tasks effectively, and its increasing effort to develop effective cooperation with other European and Euro-Atlantic organisations as well as the United Nations. Our collective aim is to build a European security architecture in which the Alliance's contribution to the security and stability of the Euro-Atlantic area and the contribution of these other international organisations are complementary and mutually reinforcing, both in deepening relations among Euro-Atlantic countries and in managing crises. NATO remains the essential forum for consultation among the Allies and the forum for agreement on policies bearing on the security and defence commitments of its members under the Washington Treaty.
26. The Alliance seeks to preserve peace and to reinforce Euro-Atlantic security and stability by: the preservation of the transatlantic link; the maintenance of effective military capabilities sufficient for deterrence and defence and to fulfil the full range of its missions; the development of the European Security and Defence Identity within the Alliance; an overall capability to manage crises successfully; its continued openness to new members; and the continued pursuit of partnership, cooperation, and dialogue with other nations as part of its co-operative approach to Euro-Atlantic security, including in the field of arms control and disarmament.

The Transatlantic Link

27. NATO is committed to a strong and dynamic partnership between Europe and North America in support of the values and interests they share. The security of Europe and that of North America are indivisible. Thus the Alliance's commitment to the indispensable transatlantic link and the collective defence of its members is fundamental to its credibility and to the security and stability of the Euro-Atlantic area.



The Maintenance Of Alliance Military Capabilities

28. The maintenance of an adequate military capability and clear preparedness to act collectively in the common defence remain central to the Alliance's security objectives. Such a capability, together with political solidarity, remains at the core of the Alliance's ability to prevent any attempt at coercion or intimidation, and to guarantee that military aggression directed against the Alliance can never be perceived as an option with any prospect of success.
29. Military capabilities effective under the full range of foreseeable circumstances are also the basis of the Alliance's ability to contribute to conflict prevention and crisis management through non-Article 5 crisis response operations. These missions can be highly demanding and can place a premium on the same political and military qualities, such as cohesion, multinational training, and extensive prior planning, that would be essential in an Article 5 situation. Accordingly, while they may pose special requirements, they will be handled through a common set of Alliance structures and procedures.

The European Security And Defence Identity

30. The Alliance, which is the foundation of the collective defence of its members and through which common security objectives will be pursued wherever possible, remains committed to a balanced and dynamic transatlantic partnership. The European Allies have taken decisions to enable them to assume greater responsibilities in the security and defence field in order to enhance the peace and stability of the Euro-Atlantic area and thus the security of all Allies. On the basis of decisions taken by the Alliance, in Berlin in 1996 and subsequently, the European Security and Defence Identity will continue to be developed within NATO. This process will require close cooperation between NATO, the WEU and, if and when appropriate, the European Union. It will enable all European Allies to make a more coherent and effective contribution to the missions and activities of the Alliance as an expression of our shared responsibilities; it will reinforce the transatlantic partnership; and it will assist the European Allies to act by themselves as required through the readiness of the Alliance, on a case-by-case basis and by consensus, to make its assets and capabilities available for operations in which the Alliance is not engaged militarily under the political control and strategic direction either of the WEU or as otherwise agreed, taking into account the full participation of all European Allies if they were so to choose.

Conflict Prevention And Crisis Management

31. In pursuit of its policy of preserving peace, preventing war, and enhancing security and stability and as set out in the fundamental security tasks, NATO will seek, in cooperation with other organisations, to prevent conflict, or, should a crisis arise, to contribute to its effective management, consistent with international law, including through the possibility of conducting non-Article 5 crisis response operations. The Alliance's preparedness to carry out such operations supports the broader objective of reinforcing and extending stability and often involves the participation of NATO's Partners. NATO recalls its offer, made in Brussels in 1994, to support on a case-by-case basis in accordance with its own procedures, peacekeeping and other operations under the authority of the UN Security Council or the responsibility of the OSCE, including by making available Alliance resources and expertise. In this context NATO recalls its subsequent decisions with respect to crisis response operations in the Balkans. Taking into account the necessity for Alliance solidarity and cohesion, participation in any such operation or mission will remain subject to decisions of member states in accordance with national constitutions.



32. NATO will make full use of partnership, cooperation and dialogue and its links to other organisations to contribute to preventing crises and, should they arise, defusing them at an early stage. A coherent approach to crisis management, as in any use of force by the Alliance, will require the Alliance's political authorities to choose and co-ordinate appropriate responses from a range of both political and military measures and to exercise close political control at all stages.

Partnership, Cooperation, And Dialogue

33. Through its active pursuit of partnership, cooperation, and dialogue, the Alliance is a positive force in promoting security and stability throughout the Euro-Atlantic area. Through outreach and openness, the Alliance seeks to preserve peace, support and promote democracy, contribute to prosperity and progress, and foster genuine partnership with and among all democratic Euro-Atlantic countries. This aims at enhancing the security of all, excludes nobody, and helps to overcome divisions and disagreements that could lead to instability and conflict.
34. The Euro-Atlantic Partnership Council (EAPC) will remain the overarching framework for all aspects of NATO's cooperation with its Partners. It offers an expanded political dimension for both consultation and cooperation. EAPC consultations build increased transparency and confidence among its members on security issues, contribute to conflict prevention and crisis management, and develop practical cooperation activities, including in civil emergency planning, and scientific and environmental affairs.
35. The Partnership for Peace is the principal mechanism for forging practical security links between the Alliance and its Partners and for enhancing interoperability between Partners and NATO. Through detailed programmes that reflect individual Partners' capacities and interests, Allies and Partners work towards transparency in national defence planning and budgeting; democratic control of defence forces; preparedness for civil disasters and other emergencies; and the development of the ability to work together, including in NATO-led PfP operations. The Alliance is committed to increasing the role the Partners play in PfP decision-making and planning, and making PfP more operational. NATO has undertaken to consult with any active participant in the Partnership if that Partner perceives a direct threat to its territorial integrity, political independence, or security.
36. Russia plays a unique role in Euro-Atlantic security. Within the framework of the NATO-Russia Founding Act on Mutual Relations, Cooperation and Security, NATO and Russia have committed themselves to developing their relations on the basis of common interest, reciprocity and transparency to achieve a lasting and inclusive peace in the Euro-Atlantic area based on the principles of democracy and co-operative security. NATO and Russia have agreed to give concrete substance to their shared commitment to build a stable, peaceful and undivided Europe. A strong, stable and enduring partnership between NATO and Russia is essential to achieve lasting stability in the Euro-Atlantic area.
37. Ukraine occupies a special place in the Euro-Atlantic security environment and is an important and valuable partner in promoting stability and common democratic values. NATO is committed to further strengthening its distinctive partnership with Ukraine on the basis of the NATO-Ukraine Charter, including political consultations on issues of common concern and a broad range of practical cooperation activities. The Alliance continues to support Ukrainian sovereignty and independence, territorial integrity, democratic development, economic prosperity and its status as a non-nuclear weapons state as key factors of stability and security in central and eastern Europe and in Europe as a whole.

38. The Mediterranean is an area of special interest to the Alliance. Security in Europe is closely linked to security and stability in the Mediterranean. NATO's Mediterranean Dialogue process is an integral part of NATO's co-operative approach to security. It provides a framework for confidence building, promotes transparency and cooperation in the region, and reinforces and is reinforced by other international efforts. The Alliance is committed to developing progressively the political, civil, and military aspects of the Dialogue with the aim of achieving closer cooperation with, and more active involvement by, countries that are partners in this Dialogue.

Enlargement

39. The Alliance remains open to new members under Article 10 of the Washington Treaty. It expects to extend further invitations in coming years to nations willing and able to assume the responsibilities and obligations of membership, and as NATO determines that the inclusion of these nations would serve the overall political and strategic interests of the Alliance, strengthen its effectiveness and cohesion, and enhance overall European security and stability. To this end, NATO has established a programme of activities to assist aspiring countries in their preparations for possible future membership in the context of its wider relationship with them. No European democratic country whose admission would fulfil the objectives of the Treaty will be excluded from consideration.

Arms Control, Disarmament, And Non-Proliferation

40. The Alliance's policy of support for arms control, disarmament, and non-proliferation will continue to play a major role in the achievement of the Alliance's security objectives. The Allies seek to enhance security and stability at the lowest possible level of forces consistent with the Alliance's ability to provide for collective defence and to fulfil the full range of its missions. The Alliance will continue to ensure that - as an important part of its broad approach to security - defence and arms control, disarmament, and non-proliferation objectives remain in harmony. The Alliance will continue to actively contribute to the development of arms control, disarmament, and non-proliferation agreements as well as to confidence and security building measures. The Allies take seriously their distinctive role in promoting a broader, more comprehensive and more verifiable international arms control and disarmament process. The Alliance will enhance its political efforts to reduce dangers arising from the proliferation of weapons of mass destruction and their means of delivery. The principal non-proliferation goal of the Alliance and its members is to prevent proliferation from occurring or, should it occur, to reverse it through diplomatic means. The Alliance attaches great importance to the continuing validity and the full implementation by all parties of the CFE Treaty as an essential element in ensuring the stability of the Euro-Atlantic area.

Part IV - Guidelines for the Alliance's Forces Principles Of Alliance Strategy

41. The Alliance will maintain the necessary military capabilities to accomplish the full range of NATO's missions. The principles of Allied solidarity and strategic unity remain paramount for all Alliance missions. Alliance forces must safeguard NATO's military effectiveness and freedom of action. The security of all Allies is indivisible: an attack on one is an attack on all. With respect to collective defence under Article 5 of the Washington Treaty, the combined military forces of the Alliance must be capable of deterring any potential aggression against it, of stopping an aggressor's advance as far forward as possible should an attack nevertheless occur, and of ensuring the political independence and territorial integrity of its member states. They must also be prepared to contribute to conflict prevention and to conduct non-Article 5

crisis response operations. The Alliance's forces have essential roles in fostering cooperation and understanding with NATO's Partners and other states, particularly in helping Partners to prepare for potential participation in NATO-led PfP operations. Thus they contribute to the preservation of peace, to the safeguarding of common security interests of Alliance members, and to the maintenance of the security and stability of the Euro-Atlantic area. By deterring the use of NBC weapons, they contribute to Alliance efforts aimed at preventing the proliferation of these weapons and their delivery means.

42. The achievement of the Alliance's aims depends critically on the equitable sharing of the roles, risks and responsibilities, as well as the benefits, of common defence. The presence of United States conventional and nuclear forces in Europe remains vital to the security of Europe, which is inseparably linked to that of North America. The North American Allies contribute to the Alliance through military forces available for Alliance missions, through their broader contribution to international peace and security, and through the provision of unique training facilities on the North American continent. The European Allies also make wide-ranging and substantial contributions. As the process of developing the ESDI within the Alliance progresses, the European Allies will further enhance their contribution to the common defence and to international peace and stability including through multinational formations.
43. The principle of collective effort in Alliance defence is embodied in practical arrangements that enable the Allies to enjoy the crucial political, military and resource advantages of collective defence, and prevent the renationalisation of defence policies, without depriving the Allies of their sovereignty. These arrangements also enable NATO's forces to carry out non-Article 5 crisis response operations and constitute a prerequisite for a coherent Alliance response to all possible contingencies. They are based on procedures for consultation, an integrated military structure, and on co-operation agreements. Key features include collective force planning; common funding; common operational planning; multinational formations, headquarters and command arrangements; an integrated air defence system; a balance of roles and responsibilities among the Allies; the stationing and deployment of forces outside home territory when required; arrangements, including planning, for crisis management and reinforcement; common standards and procedures for equipment, training and logistics; joint and combined doctrines and exercises when appropriate; and infrastructure, armaments and logistics cooperation. The inclusion of NATO's Partners in such arrangements or the development of similar arrangements for them, in appropriate areas, is also instrumental in enhancing cooperation and common efforts in Euro-Atlantic security matters.
44. Multinational funding, including through the Military Budget and the NATO Security Investment Programme, will continue to play an important role in acquiring and maintaining necessary assets and capabilities. The management of resources should be guided by the military requirements of the Alliance as they evolve.
45. The Alliance supports the further development of the ESDI within the Alliance, including by being prepared to make available assets and capabilities for operations under the political control and strategic direction either of the WEU or as otherwise agreed.
46. To protect peace and to prevent war or any kind of coercion, the Alliance will maintain for the foreseeable future an appropriate mix of nuclear and conventional forces based in Europe and kept up to date where necessary, although at a minimum sufficient level. Taking into account the diversity of risks with which the Alliance could be faced, it must maintain the forces necessary to ensure credible deterrence and to provide a wide range of conventional response options. But the Alliance's conventional forces alone cannot ensure credible deterrence. Nuclear weapons make a unique contribution in rendering the risks of aggression against the Alliance incalculable and unacceptable. Thus, they remain essential to preserve peace.



The Alliance's Force Posture

The Missions of Alliance Military Forces

47. The primary role of Alliance military forces is to protect peace and to guarantee the territorial integrity, political independence and security of member states. The Alliance's forces must therefore be able to deter and defend effectively, to maintain or restore the territorial integrity of Allied nations and - in case of conflict - to terminate war rapidly by making an aggressor reconsider his decision, cease his attack and withdraw. NATO forces must maintain the ability to provide for collective defence while conducting effective non-Article 5 crisis response operations.
48. The maintenance of the security and stability of the Euro-Atlantic area is of key importance. An important aim of the Alliance and its forces is to keep risks at a distance by dealing with potential crises at an early stage. In the event of crises which jeopardise Euro-Atlantic stability and could affect the security of Alliance members, the Alliance's military forces may be called upon to conduct crisis response operations. They may also be called upon to contribute to the preservation of international peace and security by conducting operations in support of other international organisations, complementing and reinforcing political actions within a broad approach to security.
49. In contributing to the management of crises through military operations, the Alliance's forces will have to deal with a complex and diverse range of actors, risks, situations and demands, including humanitarian emergencies. Some non-Article 5 crisis response operations may be as demanding as some collective defence missions. Well-trained and well-equipped forces at adequate levels of readiness and in sufficient strength to meet the full range of contingencies as well as the appropriate support structures, planning tools and command and control capabilities are essential in providing efficient military contributions. The Alliance should also be prepared to support, on the basis of separable but not separate capabilities, operations under the political control and strategic direction either of the WEU or as otherwise agreed. The potential participation of Partners and other non-NATO nations in NATO-led operations as well as possible operations with Russia would be further valuable elements of NATO's contribution to managing crises that affect Euro-Atlantic security.
50. Alliance military forces also contribute to promoting stability throughout the Euro-Atlantic area by their participation in military-to-military contacts and in other cooperation activities and exercises under the Partnership for Peace as well as those organised to deepen NATO's relationships with Russia, Ukraine and the Mediterranean Dialogue countries. They contribute to stability and understanding by participating in confidence-building activities, including those which enhance transparency and improve communication; as well as in verification of arms control agreements and in humanitarian de-mining. Key areas of consultation and cooperation could include inter alia: training and exercises, interoperability, civil-military relations, concept and doctrine development, defence planning, crisis management, proliferation issues, armaments cooperation as well as participation in operational planning and operations.

Guidelines for the Alliance's Force Posture

51. To implement the Alliance's fundamental security tasks and the principles of its strategy, the forces of the Alliance must continue to be adapted to meet the requirements of the full range of Alliance missions effectively and to respond to future challenges. The posture of Allies' forces, building on the strengths of different national defence structures, will conform to the guidelines developed in the following paragraphs.

52. The size, readiness, availability and deployment of the Alliance's military forces will reflect its commitment to collective defence and to conduct crisis response operations, sometimes at short notice, distant from their home stations, including beyond the Allies' territory. The characteristics of the Alliance's forces will also reflect the provisions of relevant arms control agreements. Alliance forces must be adequate in strength and capabilities to deter and counter aggression against any Ally. They must be interoperable and have appropriate doctrines and technologies. They must be held at the required readiness and deployability, and be capable of military success in a wide range of complex joint and combined operations, which may also include Partners and other non-NATO nations.

53. This means in particular:

- a. that the overall size of the Allies' forces will be kept at the lowest levels consistent with the requirements of collective defence and other Alliance missions; they will be held at appropriate and graduated readiness;
- b. that the peacetime geographical distribution of forces will ensure a sufficient military presence throughout the territory of the Alliance, including the stationing and deployment of forces outside home territory and waters and forward deployment of forces when and where necessary. Regional and, in particular, geostrategic considerations within the Alliance will have to be taken into account, as instabilities on NATO's periphery could lead to crises or conflicts requiring an Alliance military response, potentially with short warning times;
- c. that NATO's command structure will be able to undertake command and control of the full range of the Alliance's military missions including through the use of deployable combined and joint HQs, in particular CJTF headquarters, to command and control multinational and multiservice forces. It will also be able to support operations under the political control and strategic direction either of the WEU or as otherwise agreed, thereby contributing to the development of the ESDI within the Alliance, and to conduct NATO-led non-Article 5 crisis response operations in which Partners and other countries may participate;
- d. that overall, the Alliance will, in both the near and long term and for the full range of its missions, require essential operational capabilities such as an effective engagement capability; deployability and mobility; survivability of forces and infrastructure; and sustainability, incorporating logistics and force rotation. To develop these capabilities to their full potential for multinational operations, interoperability, including human factors, the use of appropriate advanced technology, the maintenance of information superiority in military operations, and highly qualified personnel with a broad spectrum of skills will be important. Sufficient capabilities in the areas of command, control and communications as well as intelligence and surveillance will serve as necessary force multipliers;
- e. that at any time a limited but militarily significant proportion of ground, air and sea forces will be able to react as rapidly as necessary to a wide range of eventualities, including a short-notice attack on any Ally. Greater numbers of force elements will be available at appropriate levels of readiness to sustain prolonged operations, whether within or beyond Alliance territory, including through rotation of deployed forces. Taken together, these forces must also be of sufficient quality, quantity and readiness to contribute to deterrence and to defend against limited attacks on the Alliance;



- f. that the Alliance must be able to build up larger forces, both in response to any fundamental changes in the security environment and for limited requirements, by reinforcement, by mobilising reserves, or by reconstituting forces when necessary. This ability must be in proportion to potential threats to Alliance security, including potential long-term developments. It must take into account the possibility of substantial improvements in the readiness and capabilities of military forces on the periphery of the Alliance. Capabilities for timely reinforcement and resupply both within and from Europe and North America will remain of critical importance, with a resulting need for a high degree of deployability, mobility and flexibility;
- g. that appropriate force structures and procedures, including those that would provide an ability to build up, deploy and draw down forces quickly and selectively, are necessary to permit measured, flexible and timely responses in order to reduce and defuse tensions. These arrangements must be exercised regularly in peacetime;
- h. that the Alliance's defence posture must have the capability to address appropriately and effectively the risks associated with the proliferation of NBC weapons and their means of delivery, which also pose a potential threat to the Allies' populations, territory, and forces. A balanced mix of forces, response capabilities and strengthened defences is needed;
- i. that the Alliance's forces and infrastructure must be protected against terrorist attacks.

Characteristics of Conventional Forces

- 54. It is essential that the Allies' military forces have a credible ability to fulfil the full range of Alliance missions. This requirement has implications for force structures, force and equipment levels; readiness, availability, and sustainability; training and exercises; deployment and employment options; and force build-up and mobilisation capabilities. The aim should be to achieve an optimum balance between high readiness forces capable of beginning rapidly, and immediately as necessary, collective defence or non-Article 5 crisis response operations; forces at different levels of lower readiness to provide the bulk of those required for collective defence, for rotation of forces to sustain crisis response operations, or for further reinforcement of a particular region; and a longer-term build-up and augmentation capability for the worst case -- but very remote -- scenario of large scale operations for collective defence. A substantial proportion of Alliance forces will be capable of performing more than one of these roles.
- 55. Alliance forces will be structured to reflect the multinational and joint nature of Alliance missions. Essential tasks will include controlling, protecting, and defending territory; ensuring the unimpeded use of sea, air, and land lines of communication; sea control and protecting the deployment of the Alliance's sea-based deterrent; conducting independent and combined air operations; ensuring a secure air environment and effective extended air defence; surveillance, intelligence, reconnaissance and electronic warfare; strategic lift; and providing effective and flexible command and control facilities, including deployable combined and joint headquarters.
- 56. The Alliance's defence posture against the risks and potential threats of the proliferation of NBC weapons and their means of delivery must continue to be improved, including through work on missile defences. As NATO forces may be called upon to operate beyond NATO's borders, capabilities for dealing with proliferation risks must be flexible, mobile, rapidly deployable and sustainable. Doctrines, planning, and training and exercise policies must also prepare the Alliance to deter and defend against the use of NBC weapons. The aim in doing so will be to further reduce operational vulnerabilities of NATO military forces while maintaining their flexibility and effectiveness despite the presence, threat or use of NBC weapons.

57. Alliance strategy does not include a chemical or biological warfare capability. The Allies support universal adherence to the relevant disarmament regimes. But, even if further progress with respect to banning chemical and biological weapons can be achieved, defensive precautions will remain essential.
58. Given reduced overall force levels and constrained resources, the ability to work closely together will remain vital for achieving the Alliance's missions. The Alliance's collective defence arrangements in which, for those concerned, the integrated military structure plays the key role, are essential in this regard. The various strands of NATO's defence planning need to be effectively coordinated at all levels in order to ensure the preparedness of the forces and supporting structures to carry out the full spectrum of their roles. Exchanges of information among the Allies about their force plans contribute to securing the availability of the capabilities needed for the execution of these roles. Consultations in case of important changes in national defence plans also remain of key importance. Cooperation in the development of new operational concepts will be essential for responding to evolving security challenges. The detailed practical arrangements that have been developed as part of the ESDI within the Alliance contribute to close allied co-operation without unnecessary duplication of assets and capabilities.
59. To be able to respond flexibly to possible contingencies and to permit the effective conduct of Alliance missions, the Alliance requires sufficient logistics capabilities, including transport capacities, medical support and stocks to deploy and sustain all types of forces effectively. Standardisation will foster cooperation and cost-effectiveness in providing logistic support to allied forces. Mounting and sustaining operations outside the Allies' territory, where there may be little or no host-nation support, will pose special logistical challenges. The ability to build-up larger, adequately equipped and trained forces, in a timely manner and to a level able to fulfil the full range of Alliance missions, will also make an essential contribution to crisis management and defence. This will include the ability to reinforce any area at risk and to establish a multinational presence when and where this is needed. Forces of various kinds and at various levels of readiness will be capable of flexible employment in both intra-European and transatlantic reinforcement. This will require control of lines of communication, and appropriate support and exercise arrangements.
60. The interaction between Alliance forces and the civil environment (both governmental and non-governmental) in which they operate is crucial to the success of operations. Civil-military cooperation is interdependent: military means are increasingly requested to assist civil authorities; at the same time civil support to military operations is important for logistics, communications, medical support, and public affairs. Cooperation between the Alliance's military and civil bodies will accordingly remain essential.
61. The Alliance's ability to accomplish the full range of its missions will rely increasingly on multinational forces, complementing national commitments to NATO for the Allies concerned. Such forces, which are applicable to the full range of Alliance missions, demonstrate the Alliance's resolve to maintain a credible collective defence; enhance Alliance cohesion; and reinforce the transatlantic partnership and strengthen the ESDI within the Alliance. Multinational forces, particularly those capable of deploying rapidly for collective defence or for non-Article 5 crisis response operations, reinforce solidarity. They can also provide a way of deploying more capable formations than might be available purely nationally, thus helping to make more efficient use of scarce defence resources. This may include a highly integrated, multinational approach to specific tasks and functions, an approach which underlies the implementation of the CJTF concept. For peace support operations, effective multinational formations and other arrangements involving Partners will be valuable. In order to exploit fully the potential offered by multinational formations, improving interoperability, inter alia through sufficient training and exercises, is of the highest importance.

Characteristics of Nuclear Forces

62. The fundamental purpose of the nuclear forces of the Allies is political: to preserve peace and prevent coercion and any kind of war. They will continue to fulfil an essential role by ensuring uncertainty in the mind of any aggressor about the nature of the Allies' response to military aggression. They demonstrate that aggression of any kind is not a rational option. The supreme guarantee of the security of the Allies is provided by the strategic nuclear forces of the Alliance, particularly those of the United States; the independent nuclear forces of the United Kingdom and France, which have a deterrent role of their own, contribute to the overall deterrence and security of the Allies.
63. A credible Alliance nuclear posture and the demonstration of Alliance solidarity and common commitment to war prevention continue to require widespread participation by European Allies involved in collective defence planning in nuclear roles, in peacetime basing of nuclear forces on their territory and in command, control and consultation arrangements. Nuclear forces based in Europe and committed to NATO provide an essential political and military link between the European and the North American members of the Alliance. The Alliance will therefore maintain adequate nuclear forces in Europe. These forces need to have the necessary characteristics and appropriate flexibility and survivability, to be perceived as a credible and effective element of the Allies' strategy in preventing war. They will be maintained at the minimum level sufficient to preserve peace and stability.
64. The Allies concerned consider that, with the radical changes in the security situation, including reduced conventional force levels in Europe and increased reaction times, NATO's ability to defuse a crisis through diplomatic and other means or, should it be necessary, to mount a successful conventional defence has significantly improved. The circumstances in which any use of nuclear weapons might have to be contemplated by them are therefore extremely remote. Since 1991, therefore, the Allies have taken a series of steps which reflect the post-Cold War security environment. These include a dramatic reduction of the types and numbers of NATO's sub-strategic forces including the elimination of all nuclear artillery and ground-launched short-range nuclear missiles; a significant relaxation of the readiness criteria for nuclear-rolled forces; and the termination of standing peacetime nuclear contingency plans. NATO's nuclear forces no longer target any country. Nonetheless, NATO will maintain, at the minimum level consistent with the prevailing security environment, adequate sub-strategic forces based in Europe which will provide an essential link with strategic nuclear forces, reinforcing the transatlantic link. These will consist of dual capable aircraft and a small number of United Kingdom Trident warheads. Sub-strategic nuclear weapons will, however, not be deployed in normal circumstances on surface vessels and attack submarines.

Part V - Conclusion

65. As the North Atlantic Alliance enters its sixth decade, it must be ready to meet the challenges and opportunities of a new century. The Strategic Concept reaffirms the enduring purpose of the Alliance and sets out its fundamental security tasks. It enables a transformed NATO to contribute to the evolving security environment, supporting security and stability with the strength of its shared commitment to democracy and the peaceful resolution of disputes. The Strategic Concept will govern the Alliance's security and defence policy, its operational concepts, its conventional and nuclear force posture and its collective defence arrangements, and will be kept under review in the light of the evolving security environment. In an uncertain world the need for effective defence remains, but in reaffirming this commitment the Alliance will also continue making full use of every opportunity to help build an undivided continent by promoting and fostering the vision of a Europe whole and free.

The Comprehensive Political Guidance (2006)

endorsed by NATO Heads of State and Government on 29 November 2006

Introduction

1. This Comprehensive Political Guidance provides a framework and political direction for NATO's continuing transformation, setting out, for the next 10 to 15 years, the priorities for all Alliance capability issues, planning disciplines and intelligence. This guidance, to be reviewed periodically, also aims to increase their coherence through an effective management mechanism.

Part 1 - The Strategic Context

2. NATO's 1999 Strategic Concept described the evolving security environment in terms that remain valid. This environment continues to change; it is and will be complex and global, and subject to unforeseeable developments. International security developments have an increasing impact on the lives of the citizens of Allied and other countries. Terrorism, increasingly global in scope and lethal in results, and the spread of weapons of mass destruction are likely to be the principal threats to the Alliance over the next 10 to 15 years. Instability due to failed or failing states, regional crises and conflicts, and their causes and effects; the growing availability of sophisticated conventional weaponry; the misuse of emerging technologies; and the disruption of the flow of vital resources are likely to be the main risks or challenges for the Alliance in that period. All of these factors can be inter-related or combined, most dangerously in the case of terrorists armed with weapons of mass destruction.
3. Peace, security and development are more interconnected than ever. This places a premium on close cooperation and coordination among international organisations playing their respective, interconnected roles in crisis prevention and management. Of particular importance because of their wide range of means and responsibilities are the United Nations and the European Union. The United Nations Security Council will continue to have the primary responsibility for the maintenance of international peace and security. The European Union, which is able to mobilise a wide range of military and civilian instruments, is assuming a growing role in support of international stability. The Organisation for Security and Cooperation in Europe also continues to have important responsibilities in this field.

Part 2 - Implications for the Alliance

4. The Alliance will continue to follow the broad approach to security of the 1999 Strategic Concept and perform the fundamental security tasks it set out, namely security, consultation, deterrence and defence, crisis management, and partnership.
5. Collective defence will remain the core purpose of the Alliance. The character of potential Article 5 challenges is continuing to evolve. Large scale conventional aggression against the Alliance will continue to be highly unlikely; however, as shown by the terrorist attacks on the United States in 2001 following which NATO invoked Article 5 for the first time, future attacks may originate from outside the Euro-Atlantic area and involve unconventional forms of armed assault. Future attacks could also entail an increased risk of the use of asymmetric means, and could involve the use of weapons of mass destruction. Defence against terrorism and the ability to respond to challenges from wherever they may come have assumed and will retain an increased importance.



6. The Alliance will remain ready, on a case-by-case basis and by consensus, to contribute to effective conflict prevention and to engage actively in crisis management, including through non-Article 5 crisis response operations, as set out in the Strategic Concept. The Alliance has undertaken a range of operations of this kind since the end of the Cold War. Experience has shown the increasing significance of stabilisation operations and of military support to post-conflict reconstruction efforts. The role of the UN and EU, and other organisations, including as appropriate non-governmental organisations, in ongoing operations and future crises will put a premium on practical close cooperation and coordination among all elements of the international response.
7. Against this background, NATO must retain the ability to conduct the full range of its missions, from high to low intensity, placing special focus on the most likely operations, being responsive to current and future operational requirements, and still able to conduct the most demanding operations. There will continue to be a requirement for a mix of conventional and nuclear forces in accordance with extant guidance. In particular, the Alliance needs to focus on:
 - a. strengthening its ability to meet the challenges, from wherever they may come, to the security of its populations, territory and forces;
 - b. enhancing its ability to anticipate and assess the threats, risks, and challenges it faces, with special attention to the threats posed by terrorism and the proliferation of weapons of mass destruction;
 - c. providing forces able to conduct the full range of military operations and missions;
 - d. being able to respond quickly to unforeseen circumstances;
 - e. ensuring that NATO's own crisis management instruments are effectively drawn together. While NATO has no requirement to develop capabilities strictly for civilian purposes, it needs to improve its practical cooperation, taking into account existing arrangements, with partners, relevant international organisations and, as appropriate, non-governmental organisations in order to collaborate more effectively in planning and conducting operations;
 - f. continuing to adapt planning processes to meet the new demands.
8. The evolving security environment requires that commitments from nations, recognising the primacy of national political decisions, to NATO operations be translated into concrete terms by the development and fielding of flexible and sustainable contributions, and also by a fair sharing of the burden. It is also important to have an early indication of the likely military demands and potential availability of forces and resources when making an Alliance decision to launch an operation.
9. All of this requires Allies to continue the process of transformation, including conceptual and organisational agility and the development of robust capabilities that are deployable, sustainable, interoperable, and usable.

Part 3 – Guidelines for Alliance Capability Requirements

10. Given the likely nature of the future security environment and the demands it will impose, the Alliance will require the agility and flexibility to respond to complex and unpredictable challenges, which may emanate far from member states' borders and arise at short notice. The Alliance will also require effective arrangements for intelligence and information sharing. As in the past, intelligence and lessons learned from operations will also inform capability development.

11. In order to undertake the full range of missions, the Alliance must have the capability to launch and sustain concurrent major joint operations and smaller operations for collective defence and crisis response on and beyond Alliance territory, on its periphery, and at strategic distance; it is likely that NATO will need to carry out a greater number of smaller demanding and different operations, and the Alliance must retain the capability to conduct large-scale high-intensity operations.
12. Regardless of its overall size, each operation is likely to require a command and control structure able to plan and execute a campaign to accomplish a strategic or operational objective, employing the appropriate mix of air, land and maritime components. It also requires forces that are structured, equipped, manned and trained for expeditionary operations in order to respond rapidly to emerging crises, for which the NATO Response Force would be a key element, effectively reinforce initial entry forces, and sustain the Alliance's commitment for the duration of the operation.
13. On this basis, the Alliance requires sufficient fully deployable and sustainable land forces, and appropriate air and maritime components. This requirement is supported by political targets as set out by Defence Ministers for the proportion of their nation's land forces which are structured, prepared and equipped for deployed operations (40%) as well as the proportion undertaking or planned for sustained operations at any one time (8%), and by the Allies undertaking to intensify their efforts, taking into account national priorities and obligations, to this end.
14. NATO and the EU and their respective members states have already agreed procedures to ensure coherent, transparent and mutually reinforcing development of the capability requirements common to both organisations. NATO's planning disciplines should continue to take full account of these principles, objectives and procedures.
15. The development of capabilities will not be possible without the commitment of sufficient resources. Furthermore, it will remain critically important that resources that Allies make available for defence, whether nationally, through multi-national projects, or through NATO mechanisms, are used as effectively as possible and are focused on priority areas for investment. Increased investment in key capabilities will require nations to consider reprioritisation, and the more effective use of resources, including through pooling and other forms of bilateral or multilateral cooperation. NATO's defence planning should support these activities.
16. Over the next 10 to 15 years, the evolving security environment and the need to deal with conventional and especially asymmetric threats and risks, wherever they arise, will put a premium on improvements in meeting the following capability requirements:
 - a. the ability to conduct and support multinational joint expeditionary operations far from home territory with little or no host nation support and to sustain them for extended periods. This requires forces that are fully deployable, sustainable and interoperable and the means to deploy them. It also requires a fully coordinated and, where appropriate, multinational approach to logistic support;
 - b. the ability to adapt force postures and military responses rapidly and effectively to unforeseen circumstances. This requires, inter alia, an effective capability to analyse the environment and anticipate potential requirements, a high level of readiness for our forces, and the necessary flexibility to respond to any sudden shifts in requirements;
 - c. the ability to deter, disrupt, defend and protect against terrorism, and more particularly to contribute to the protection of the Alliance's populations, territory, critical infrastructure and forces, and to support consequence management;
 - d. the ability to protect information systems of critical importance to the Alliance against cyber attacks;

- e. the ability to conduct operations taking account of the threats posed by weapons of mass destruction and chemical, biological, radiological and nuclear hazards, including the ability to defend deployed NATO forces against theatre missile threats;
 - f. the ability to conduct operations in demanding geographical and climatic environments;
 - g. the ability, through appropriate equipment and procedures, to identify hostile elements, including in urban areas, in order to conduct operations in a way that minimises unintended damage as well as the risk to our own forces;
 - h. the ability and flexibility to conduct operations in circumstances where the various efforts of several authorities, institutions and nations need to be coordinated in a comprehensive manner to achieve the desired results, and where these various actors may be undertaking combat, stabilisation, reconstruction, reconciliation and humanitarian activities simultaneously;
 - i. the ability to bring military support to stabilisation operations and reconstruction efforts across all phases of a crisis, including to establish a safe and secure environment, within the full range of missions; military support to reconstruction efforts will be provided to the extent to which conditions in the theatre of operations prevent other actors with primary responsibilities in this field from carrying out their tasks. This should embrace the ability to support security sector reform, including demobilisation, disarmament and reintegration, and to bring military support, within available means and capabilities, to humanitarian relief operations;
 - j. the ability to field forces with the greatest practicable interoperability and standardisation amongst Allies, and the flexibility also to cooperate with the forces of partners, including, to the extent possible, through the release of appropriate standards.
17. Delivering these capabilities requires an openness to new technologies, concepts, doctrines and procedures supporting, in particular, an approach to operations which, bearing in mind the provisions of paragraph 7e above, aims at the coherent and comprehensive application of the various instruments of the Alliance to create overall effects that will achieve the desired outcome. Such an effects based approach should be developed further and might include enhancing situational awareness, timely operational planning and decisionmaking, improving links between commanders, sensors and weapons, and deploying and employing joint expeditionary forces coherently and to greatest effect.
18. Among these qualitative requirements, the following constitute NATO's top priorities: joint expeditionary forces and the capability to deploy and sustain them; high-readiness forces; the ability to deal with asymmetric threats; information superiority; and the ability to draw together the various instruments of the Alliance brought to bear in a crisis and its resolution to the best effect, as well as the ability to coordinate with other actors. The NATO Response Force is a fundamental military tool in support of the Alliance and a catalyst for further transformation and has top priority together with operational requirements.

Part 4 - Principles for a Management Mechanism

19. The NATO committees and bodies responsible for the relevant planning disciplines, including operational planning and intelligence, are to implement the Comprehensive Political Guidance in their work through the development, as necessary, of detailed policies, directives and guidance which they in turn provide for their respective disciplines.



20. An effective Management Mechanism is an integral part of the implementation of the Comprehensive Political Guidance. The Management Mechanism will be established by the NATO Council in Permanent Session to provide for the development of further detailed guidance, and for monitoring and ensuring compliance of these planning disciplines with the provisions of the Comprehensive Political Guidance and ensuring coherence and harmonisation among them*. The Management Mechanism will comprise a system of effective arrangements, including, as required, formal direction, with the aim of achieving aligned planning processes, consistent guidance and harmonised requirements and supporting structures.
21. Implementation of this Comprehensive Political Guidance should lead to the development of more usable capabilities for future operations and missions.

(*)The Management Mechanism was established in February 2006.



The Declaration on Alliance Security (2009)

issued by the Heads of State and Government participating in the meeting of the North Atlantic Council in Strasbourg / Kehl on 4 April 2009

We, the Heads of State and Government of the North Atlantic Treaty Organization, met today in Strasbourg and Kehl to celebrate the 60th anniversary of our Alliance. We have reaffirmed the values, objectives and obligations of the Washington Treaty which unite Europe with the United States and Canada, and have provided our transatlantic community with an unprecedented era of peace and stability. We have also reaffirmed our adherence to the purposes and principles of the Charter of the United Nations.

NATO continues to be the essential transatlantic forum for security consultations among Allies. Article 5 of the Washington Treaty and collective defence, based on the indivisibility of Allied security, are, and will remain, the cornerstone of our Alliance. Deterrence, based on an appropriate mix of nuclear and conventional capabilities, remains a core element of our overall strategy. NATO will continue to play its part in reinforcing arms control and promoting nuclear and conventional disarmament in accordance with the Nuclear Non-Proliferation Treaty, as well as non-proliferation efforts.

NATO's enlargement has been an historic success in bringing us closer to our vision of a Europe whole and free. NATO's door will remain open to all European democracies which share the values of our Alliance, which are willing and able to assume the responsibilities and obligations of membership, and whose inclusion can contribute to common security and stability.

Today, our nations and the world are facing new, increasingly global threats, such as terrorism, the proliferation of weapons of mass destruction, their means of delivery and cyber attacks. Other challenges such as energy security, climate change, as well as instability emanating from fragile and failed states, may also have a negative impact on Allied and international security. Our security is increasingly tied to that of other regions.

We will improve our ability to meet the security challenges we face that impact directly on Alliance territory, emerge at strategic distance or closer to home. Allies must share risks and responsibilities equitably. We must make our capabilities more flexible and deployable so we can respond quickly and effectively, wherever needed, as new crises emerge. We must also reform the NATO structures to create a leaner and more cost-effective organization. We will strengthen NATO's capacity to play an important role in crisis management and conflict resolution where our interests are involved.

We aim to strengthen our cooperation with other international actors, including the United Nations, European Union, Organization for Security and Cooperation in Europe and African Union, in order to improve our ability to deliver a comprehensive approach to meeting these new challenges, combining civilian and military capabilities more effectively. In our operations today in Afghanistan and the Western Balkans, our armed forces are working alongside many other nations and organisations. In Afghanistan, our key priority, we are committed to helping the Afghan Government and its people to build a democratic, secure and stable country that will never again harbour terrorists who threaten Afghan and international security.

NATO recognizes the importance of a stronger and more capable European defence and welcomes the European Union's efforts to strengthen its capabilities and its capacity to address common security challenges. Non-EU Allies make a significant contribution to these efforts in which their fullest involvement possible is important, as agreed. We are determined to ensure that the NATO-EU relationship is a truly functioning strategic partnership as agreed by NATO and by the EU. Our efforts should be mutually reinforcing and complementary.



We will develop our relationships with all our partners, both in our neighbourhood and beyond, with whom we have a joint commitment to cooperative security. Our partners are key in enabling us to implement our vision of a community of shared values and responsibilities. We value the support that many of our partners bring to our operations and missions.

A strong, cooperative partnership between NATO and Russia, based on respect for all the principles of the 1997 NATO-Russia Founding Act and the 2002 Rome Declaration, best serves security in the Euro-Atlantic area. We stand ready to work with Russia to address the common challenges we face.

We are committed to renovating our Alliance to better address today's threats and to anticipate tomorrow's risks. United by this common vision of our future, we task the Secretary General to convene and lead a broad-based group of qualified experts, who in close consultation with all Allies will lay the ground for the Secretary General to develop a new Strategic Concept and submit proposals for its implementation for approval at our next summit. The Secretary General will keep the Council in permanent session involved throughout the process.





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